

# Compilation of the Faculty of Humanities' doctoral degree regulations of 2015 and their amendments

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## Publications

This version of the doctoral regulations combines the following publications that appeared in the Official Announcements (Amtliche Mitteilungen [AM]) of the Georg-August-Universität Göttingen:

Doctoral Degree Regulations (revision):	17.08.2015, Off. Ann. I No 37/2015, p. 903-964
Doctoral Degree Regulations (first amendment):	12.09.2018, Off. Ann. I No 48/2018, p. 1125-1141
Doctoral Degree Regulations (second amendment):	20.04.2020, Off. Ann. I No 17/2020, p. 352-354
Doctoral Degree Regulations (third amendment):	07.05.2020, Off. Ann. I No 24/2020, p. 482-514
Doctoral Degree Regulations (fourth amendment):	10.11.2022, Off. Ann. I No 50/2022, p. 1160-1163
Doctoral Degree Regulations (fifth amendment):	06.02.2024, Off. Ann. I No 04/2024, p. 24f
Doctoral Degree Regulations (sixth amendment):	25.04.2025, Off. Ann. I No 16/2025, p. 269-277

### **Note of the Examination Office of the Faculty of Humanities:**

This compilation is a non-official version intended only for clarity. No responsibility can be accepted for any errors. Only the regulations published by the Georg-August-Universität Göttingen in its Official Notices are legally binding.

30.04.2025

# **Doctoral degree regulations of the Faculty of Humanities at the Georg-August-Universität Göttingen**

## **I. Miscellaneous**

### **§ 1 Scope, purpose of the doctoral examination**

(1) <sup>1</sup>These regulations govern the conduct of doctoral procedures at the Georg-August-Universität Göttingen in the research areas represented at the Faculty of Humanities as well as in adjacent research areas in accordance with Appendix I as well as in the structured doctoral programmes and doctoral degree programmes (hereinafter jointly referred to as programmes) in accordance with Appendix Ia, including the awarding and withdrawal of the doctoral degree. <sup>2</sup>The subject-specific provisions of a programme, which are regulated in the appendices and, if applicable, in supplementary regulations of the programmes (hereinafter referred to as programme regulations) or separately published digital module lists, shall apply in addition. <sup>3</sup>Contradictory or deviating subject-specific regulations are invalid unless these regulations expressly permit deviations.

2) <sup>1</sup>The doctoral examination demonstrates that the candidate is able to design and carry out essential research projects with scientific integrity and independence, and that he or she can independently identify scientific issues, develop new ideas based on his or her own critical analysis, and contribute to scientific progress. <sup>2</sup>She or he has a systematic understanding of his or her field and, where required, of related fields, as well as comprehensive knowledge of the relevant literature. <sup>3</sup>By submitting a scientific research achievement, he or she makes his or her own contribution to research that expands the frontiers of knowledge and stands up to the scrutiny of the scientific community. <sup>4</sup>With this work, she or he proves that she or he can discuss insights from a special field with other researchers, test them for their prerequisites, and productively develop them further.

(3) Furthermore, this regulation provides for the award of the academic title as honorary doctor of philosophy (*Doctor philosophiae honoris causa*) at Georg-August-Universität Göttingen.

### **§ 2 University degree**

(1) Georg-August-Universität Göttingen awards the title of "Doktorin der Philosophie" or "Doktor der Philosophie" (*Doctor philosophiae*, in short "Dr. phil.").

(2) Upon application by the doctoral candidate, the degree "Doctor of Philosophy (Ph.D.)" may be awarded instead of the degree of Doctor of Philosophy.

(3) The titles as defined under sections 1 and 2 may only be acquired by completing doctoral studies in accordance with the terms of this regulation.

(4) The honorary title of doctor of philosophy can also be awarded following extraordinary graduation procedures.

### **§ 3 Doctoral Committee; Examination Office**

(1) <sup>1</sup>The Faculty of Humanities shall convene a Doctoral Committee in order to organise and assure the quality of all tasks assigned in this regulation. <sup>2</sup>In addition to the Dean of Studies, this committee shall

comprise four members of the professoral group, two postdoctorate research assistants and two doctoral candidates. <sup>3</sup>One member of the professoral group should belong to the Management Board of the Graduate School of Humanities Göttingen (GSGG).

Appointments:

- a) The members of the group of university lecturers and academic staff with doctorates are each appointed for two years by the respective group representatives on the Faculty Council of the Faculty of Humanities,
  - b) the doctoral candidates are each appointed for one year by the members of the staff and student status groups in the Faculty Council of the Faculty of Humanities;
- a deputy must also be appointed for each member. <sup>5</sup>In the event that a member shall depart prematurely, a successor shall be appointed for the remaining period of office.

(2) The Dean of Studies shall chair the Doctoral Committee. The Doctoral Committee shall select from its members of the professoral group a deputy chairperson.

(3) The Doctoral Committee supports the Dean of Studies in doctoral matters and reports to the Faculty Council regularly on the finished and on-going procedures.

(4) <sup>1</sup>The Doctoral Committee does not meet in public. <sup>2</sup>The members are bound to professional non-disclosure. <sup>3</sup>Unless they are in public service, they are to be obligated to discretion by the chairperson.

(5) <sup>1</sup>The Doctoral Committee decides by simple majority; resolutions are not passed if there is a tied vote. <sup>2</sup>The Doctoral Committee shall have a quorum insofar as the majority of its members, including the majority of members from the professoral group, are in attendance; if the Dean of Studies belongs to the professoral group, he or she is also counted as belonging this group with regard to this determination. <sup>3</sup>Doctoral candidates have only an advisory vote in the assessment and transfer of credits for examination components.

(6) <sup>1</sup>The Doctoral Committee may, until further notice, transfer powers as concerns matters of ongoing management to the Dean of Studies; this shall not apply to rulings on objections. <sup>2</sup>Minutes are kept of the meetings of the Doctoral Committee. <sup>3</sup>They shall state the main topics of discussion and the resolutions by the Doctoral Committee.

(7) <sup>1</sup>Decisions by the Doctoral Committee must be communicated to the person affected without delay at least in text form. <sup>2</sup>Negative decisions should be communicated in writing with reasons and instruction on legal recourse.

(8) <sup>1</sup>The Examination Office organises the examination process according to regulations set forth by the Doctoral Committee and the Dean of Studies. <sup>2</sup>The Examination Office keeps the examination records. <sup>3</sup>One member of the Examination Office is entitled to attend the meetings of the Doctoral Committee, acting in an advisory capacity.

## II. Acceptance as a doctoral candidate; admission; support; doctoral studies

### § 4 Requirements for acceptance as a doctoral candidate

- (1) <sup>1</sup>Applicants must submit suitable degree certificates as proof of successful completion of at least a Master, Diplom or Magister degree programme, an equivalent degree programme leading to a State Examination, or an equivalent course of study at a university belonging to a Bologna signatory state. <sup>2</sup>The standard course length of the prior degree programme must be at least eight semesters; a consecutive Master degree programme or equivalent course of study must last at least one year and produce an aggregate course length of no less than eight semesters. <sup>3</sup>Degree examinations that are

equivalent to the qualifications under sentence 1 and have been passed in a country other than the Bologna Signatory States require the assessment of equivalence in consideration of the proposals by the Central Office for Foreign Education (ZAB) at the office of the permanent conference of the federal ministers of cultural affairs in Federal Republic of Germany (KMK) for the accreditation and assessment of foreign proof of academic qualification that are laid down at the URL <http://anabin.kmk.org>. <sup>4</sup>The grades of the foreign degree certificates shall be converted to the German grading system. <sup>5</sup>The Doctoral Committee shall be responsible for the assessment of degree equivalence as specified in sentence 1. <sup>6</sup>The previous academic programme must be in a subject that is relevant to the programme of the doctoral project. <sup>7</sup>The Doctoral Committee shall rule on whether the previous academic programme is relevant as set forth in Appendices I and Ia. <sup>8</sup>Insofar as the Doctoral Committee imposes pertinent conditions, any positive ruling on the subject relevance of the previous academic programme and the acceptance of the doctoral candidate shall be conditional until evidence is provided by the candidate on academic or examination achievements in the previous academic programme; this must be submitted within two semesters following acceptance. <sup>9</sup>Confirmation of subject relevance shall not be possible if the scope.

(2) <sup>1</sup>Applicants providing evidence of a Master degree or an equivalent degree according to section 1 with a grade point average of at least 2.0 (good) shall be eligible for admission. <sup>2</sup>Eligibility for admission is also satisfied by those who hold a Master degree or an equivalent degree according to section 1 as well as the particular suitability for doctoral studies in the selected research area. <sup>3</sup>Particular suitability is proven in this case by:

- a) an assessment of the thesis in the relevant prior degree course of at least very good (1.5),
- b) in the event of prior degree course covering several research areas an average assessment of the course and examination-related achievements attributable to the research area selected for doctoral studies (weighted according to credits) of at least very good (1.5), or
- c) in the event of a Master degree or an equivalent degree according to section 1 with a final assessment of at least satisfactory (3,0) by submission of written documents indicating qualifications that confirm a special suitability; decisions on special suitability shall be made by the Doctoral Committee based on a technical appraisal provided by a university professor.

(3) <sup>1</sup>Moreover, a further requirement shall be a declaration at least in text form

- a) by an authorised examiner to provide suitable support in the selected research area in the event of a successful acceptance of an applicant as a doctoral candidate and furthermore to provide proper supervision (confirmation of supervision), also
- b) by at least one other scientist holding a doctoral degree that he or she is willing to sit on the Thesis Committee;

the requirement as set forth in letter b) must be satisfied within three months following acceptance as a doctoral candidate insofar as the candidate was not yet enrolled at the University of Göttingen.

<sup>2</sup>Furthermore, eligibility for admission shall only exist if

- a) no agent was appointed for locating doctoral opportunities subject to a fee,
- b) in the context of the doctoral process and its preparation, neither fees have been paid, charged credits obtained nor services that are contrary to the meaning and purpose of an examination procedure have been claimed free-of-charge,
- c) if the right to examination still exists,
- d) there are no reasons that may form the grounds for withdrawal of the Doctoral degree,

and this is declared by the applicant.

(4) <sup>1</sup>Applicants who neither have a German university entrance qualification nor have obtained their Bachelor's or Master's degree or an equivalent degree at a German university in a German-language course of study must have sufficient knowledge of the German language. <sup>2</sup>Proof hereof is demonstrated in accordance with the examination regulations for the German Language Test for the University Admission of Foreign Study Applicants (DSH) by means of an examination with the overall result DSH-2. <sup>3</sup>The obligation to take the test need not be met by applicants who are exempted from the German language test for admission to colleges and universities according to the examination regulations for the German language test for the admission of foreign students to colleges and universities (DSH) at the Georg-August-Universität Göttingen; this applies especially to those applicants who have proven the required German language skill through the "Test of German as a Foreign Language" (TestDaF) with at

least four-times TestDaF grade level 4 (TDN 4) or the “German examination module” of the assessment test at the preparatory college [Studienkolleg].

(5) Appendix I of these regulations specifies the subject areas in which applicants must demonstrate language skills and other performance requirements as a prerequisite for admission in addition to or in deviation from the provisions in section 4.

(6) The Doctoral Committee shall decide on exceptions to sections 4 and 5 upon application by the applicant in consultation with the authorised examiner who provided confirmation of supervision according to section 3; exceptions shall be possible in particular if the intended research project can most likely be managed and the doctoral examination completed successfully even without the corresponding expertise.

## § 5 Submission of a proposal

(1) <sup>1</sup>The application for acceptance as a doctoral candidate must be addressed to the Examination Office of the Faculty of Humanities together with the documents listed under section 2. <sup>2</sup>The university is not obligated to review the details of the applicants as part of its required duties.

(2) The following documents must be enclosed with the application:

- a) the degree certificate(s) of the applicant, including a transcript of the applicant's record in the form of attested copies or certified German or English translations if the originals are not in English or German; if a degree certificate has not yet been received, a certificate of the completion of studies, the acquired examination-related achievements, the credits and the grade point average should be submitted;
- b) a personal data sheet written in German or English with a meaningful description of the educational career;
- c) one copy of the written theses of the Master's programmes or comparable programmes successfully completed by the applicant in electronic form;
- d) proof of sufficient knowledge of the German or English language and any other qualifications for entry as stated in Appendix I;
- e) statement of the selected research area and the preliminary working title of the thesis;
- f) a declaration on whether the applicant has successfully/unsuccessfully completed a Doctoral degree programme in the same discipline or has not completed it yet;
- g) declarations according to § 4 section 3 sentence 1 letters a) and b);
- h) a declaration according to § 4 section 3 sentence 2 as defined in Appendix II.

(3) <sup>1</sup>The Doctoral Committee is responsible for reviewing the application documents and if relevant also for an assessment and confirmation of qualifications for entry. <sup>2</sup>It determines the eligibility for admission and issues acceptance as a doctoral candidate.

## § 6 Thesis Committee

(1) <sup>1</sup>The Management Board of the Graduate School of the Humanities Göttingen (GSGG) shall, after consultation with the doctoral candidate, appoint a Thesis Committee upon admission of the doctoral candidate or no later than 3 months thereafter; this Thesis Committee shall comprise at least two members, and in the case of admission to a doctoral programme, at least three members, including the authorised examiner and first academic advisor as well as the scientist as set forth in § 4 section 3 sentence 1 letter b). <sup>2</sup>All members of the Thesis Committee must hold a doctorate; the members of the Thesis Committee who are authorised examiners must not be in a dependent relationship to each other.

(2) <sup>1</sup>The Thesis Committee provides support and assistance to the doctoral candidate. <sup>2</sup>The candidate must provide the Thesis Committee with a comprehensive, regular report, at least once annually, on the progress in the doctoral project and must also report on academic achievements and examination prerequisites completed to date.

(3) <sup>1</sup>The members of the Thesis Committee and the doctoral candidate conclude a doctoral contract within three months following the appointment of Thesis Committee. <sup>2</sup>The doctoral contract must at least contain the details listed in Appendix III. <sup>3</sup>The Management Board at GSGG is entitled to order modification to the doctoral contract in the scope of the legal statutes applicable; the Doctoral Committee shall rule in the event of any disagreement. <sup>4</sup>The doctoral candidate must submit to the Thesis Committee a preliminary schedule for the doctoral project by no later than upon conclusion of the doctoral contract.

(4) <sup>1</sup>The Management Board at GSGG may make changes to the membership of the Thesis Committee, subject to application by a member of the Thesis Committee or the doctoral candidate. <sup>2</sup>A change in the first academic advisor is possible only if the assistance for the doctoral studies is no longer guaranteed for good reason, in particular due to his or her prolonged absence, or if continuation of support cannot be reasonably expected, especially due to a breakdown in mutual trust for reasons the doctoral candidate is not responsible for. <sup>3</sup>In the event of conflict between the academic advisor and the doctoral candidate, there should be an initial attempt by a person of trust at GSGG to mediate between the parties.

## § 7 Scope and nature of the doctoral studies; deception; termination for an important reason

(1) <sup>1</sup>The doctoral candidate is required to complete their scientific research over the course of their doctoral studies. <sup>2</sup>The working topic must be agreed between the first academic advisor and the doctoral candidate before the start of the doctoral project. <sup>3</sup>Insofar as the doctoral candidate is also admitted to a programme (e.g. structured doctoral programme, research training group), he or she shall be required furthermore to successfully complete the specific examination prerequisites required in the programme. <sup>4</sup>The doctoral candidate is required to adhere to ethical scientific standards and must in particular comply with the regulations at the Georg-August-Universität Göttingen concerning good scientific practice in their latest version.

(1a) Within the framework of the doctoral programmes of the Faculty of Humanities, examination prerequisites amounting to a total of at least 24 credits (hereinafter C) must be successfully completed in accordance with Appendix Ia and the list of modules, which is published separately.

(1b) If examination prerequisites pursuant to section 1 sentence 3 or section 1a cannot be provided for reasons for which the doctoral candidate is not responsible, or if providing such examination prerequisites would cause disproportionate hardship, the Doctoral Committee may, at the latest upon admission to the doctoral examination, waive the requirement to provide evidence of individual performance at the doctoral candidate's request.

(2) The doctoral candidates are entitled to complete additional, freely electable components in addition to the minimum required examination prerequisites (if any), in particular those provided by the Graduate School for the Humanities Göttingen (GSGG).

(3) <sup>1</sup>Examination prerequisites and other academic achievements completed on the basis of an agreement between the University of Göttingen, the doctoral candidate and a different university shall be credited without any assessment of equivalence. <sup>2</sup>Any examination prerequisites and other academic achievements completed within or outside of a university shall otherwise be recognised, provided that equivalence is ascertained. <sup>3</sup>The doctoral candidate is responsible for submission of the documents required for these credits. <sup>4</sup>Achievements shall not be recognised in the event that they were completed in the degree course or in the consecutive degree courses whose completion provided the basis for entry into the Doctoral degree programme and which were necessary in order to complete said degree programme or degree programmes. <sup>5</sup>The university is required to provide reasoning for any decision



not to recognise academic achievements (reversal of the burden of proof as specified in the Lisbon Recognition Convention). <sup>6</sup>The Doctoral Committee decides on the transfer of credits.

(4) <sup>1</sup>The research and the oral examination must be completed no later than 6 years following admission of the doctoral candidate. <sup>2</sup>Any additional extension must be approved by the Thesis Committee. <sup>3</sup>Insofar as the Thesis Committee does not agree to the extension, the Doctoral Committee shall make its decision based on a statement by the Thesis Committee on the end of the doctoral studies as defined in section 7; a new Thesis Committee must be appointed if the doctoral studies are not ended.

(5) <sup>1</sup>The doctoral candidate must be enrolled for the entire period according to section 4. <sup>2</sup>Contrary to sentence 1, an exemption from the enrolment requirement may be granted in individual cases for substantial material reasons; the Doctoral Committee will decide in this respect. <sup>3</sup>Sentence 2 does not apply to doctoral programmes.

(6) <sup>1</sup>Should the doctoral candidate undertake by means of deception or the use of unauthorised aids or by subsequent exertion of influence on a person involved in the examination to distort the results of examination prerequisites or other academic achievements to his or her own benefit or to the benefit of a third party (hereafter in general: deception), the achievements in question shall be assessed as 'failed'. <sup>2</sup>From the consequences mentioned in sentence 1 it can also be recognised whether the doctoral candidate carries any prohibited aids with himself or herself. <sup>3</sup>The examiner or adjudicator in question can exclude from a continuation any doctoral candidate who grossly violates conduct; in this case, the achievements in question shall be assessed as 'failed'. <sup>4</sup>In the event of severe cases, the Doctoral Committee is entitled to exclude the doctoral candidate from the completion of any further examination or degree prerequisites or other academic achievements referring to the doctoral process. <sup>5</sup>A decision may be made to refrain from imposing sanctions in less serious cases. <sup>6</sup>If there is suspicion of the use of prohibited aids, the doctoral candidate is obligated to assist in the clarification and return the aids; should this be refusal, the relevant achievements are assessed as 'failed'. <sup>7</sup>Before any decision is made under sentence 4, the doctoral candidate must be heard.

(7) <sup>1</sup> The doctoral studies end with

- a) revocation or withdrawal of admission, acceptance as a doctoral student, or admission to a programme; or
- b) cancellation or termination of the doctoral candidate relationship.

<sup>2</sup>Withdrawal, revocation or termination may take place for good cause; the Doctoral Committee is responsible in this respect. <sup>3</sup>In general, good cause is considered satisfied if the doctoral candidate

- a) proves consistently unsuitable despite sufficient supervision,
- b) fails repeatedly or to a significant extent in fulfilling the tasks with which he or she has been charged, in particular if he or she repeatedly and despite caution violates his or her reporting duties,
- c) has breached the regulation to ensure good scientific practice,
- d) has wrongfully obtained her or his admission, acceptance as a doctoral candidate, or admission to a programme by means of deception as concerns provision of the qualifications for entry, or
- e) if the relationship of trust with the doctoral candidate is irretrievably broken for reasons for which he or she must carry responsibility.

<sup>4</sup>Furthermore, the doctoral studies end by declaration of the doctoral candidate when the exmatriculation becomes effective, in case of an exception according to section 5 sentence 2 upon receipt of the declaration.

### III. Doctoral examination; eligibility to sit the examination, requirements and procedure

#### § 8 Doctoral examination

- (1) The degrees to be awarded under § 2 sections 1 and 2 are awarded on the basis of a successful doctoral examination.
- (2) The doctoral exam includes a scientific treatise (thesis) and an oral exam (oral defense or oral examination).
- (3) The Doctoral Committee shall suspend the doctoral process in the event that it becomes aware that proceedings are pending against the doctoral candidate concerning a breach of good scientific practice or that a judicial inquiry or criminal proceedings are pending in connection with the doctoral studies.
- (4) <sup>1</sup>Should the doctoral candidate undertake to influence the results of the examination by means of deceit, in particular through the use of unauthorised aids in producing the thesis or by retroactive exertion of influence on a person involved in the examination, the examination components in question shall be assessed as 'failed'. <sup>2</sup>Should the doctoral candidate grossly violate conduct, the Doctoral Committee may decide on termination of the oral examination; in this case the oral examination shall be assessed as 'failed'. <sup>3</sup>The Doctoral Committee is entitled in serious cases to exclude the doctoral candidate from the completion of any further examination components; any breach of § 9 section 3 sentence 1 letter a) in particular shall be deemed a serious breach. <sup>4</sup>A decision may be made to refrain from imposing sanctions in less serious cases. <sup>5</sup>Before any decision is made under sentence 3, the doctoral candidate must be heard.

#### § 9 Prerequisites for admission to sit the doctoral examination

- (1) Admission to the doctoral examination has the prerequisite that the applicant
- a) is consistently enrolled as doctoral student at Georg-August-Universität Göttingen in accordance with the specifications defined in the statutory provisions,
  - b) has, if required, properly completed the doctoral studies according to § 7, and
  - c) has independently completed a written scientific treatise (thesis) and affirms in lieu of oath,
    - ca) that he or she has written it independently and has not used any sources and aids other than those indicated and
    - cb) that no corresponding doctorate has been applied for elsewhere and that the submitted dissertation or parts thereof have been presented in this context.
- (2) Admission to the doctoral examination shall be denied or revoked at any time after admission if it has been applied for simultaneously at another faculty or university, unless permitted under part VII.
- (3) <sup>1</sup>Persons shall be ineligible for admission to the doctoral examination in particular if they
- a) have not prepared parts of the thesis by himself or herself, but have sought the help of a third person in a manner violating examination regulations and scientific honesty; scientific honesty includes, among other things, strict compliance with the citation requirement, so that the inclusion of foreign ideas in the dissertation is clearly marked, as well as the maintenance of strict honesty with regard to contributions from other persons;
  - b) use the services of a paid agent for the purpose of obtaining information on doctorate opportunities;
  - c) in the context of the doctoral process and its preparation have paid fees or have provided or obtained paid or unpaid services that are contrary to the meaning and purpose of an examination procedure;

- d) satisfy requirements for which the doctoral examination might be declared void or the Doctoral degree withdrawn.

<sup>2</sup>In these cases, reapplication for permission to take the doctoral exam is not permitted. <sup>3</sup>Admission to the doctoral examination may be withdrawn or revoked at any time in the cases referred to in sentence 1.

## § 10 Application for admission to the doctoral examination

(1) After completion of the thesis, an application for admission to the doctoral examination and commencement of the doctoral process must be submitted to the Doctoral Committee at least in text form.

(2) The following should be enclosed with the application:

- a) the scientific treatise (dissertation) in digital form in the format of a commonly used word processing programme or as an unprotected (text extraction-enabled) PDF document as well as any published writings of the doctoral candidate that are related to the dissertation in electronic form; if required, printed copies of the dissertation must be provided;
- b) specification of the academic title to be awarded in accordance with § 2;
- c) a curriculum vitae written in German or English, which also provides information on the scientific development of the doctoral candidate;
- d) an assurance as specified under § 9 section 1 letter c);
- e) a proposal for the reviewers of the thesis and the other members of the Examination Board;
- f) a declaration of whether the oral examination will be conducted as an oral defence or an oral examination and whether it will be held in German or in a different language permissible for the research area of the thesis;
- g) a clearance certificate from the German Federal Register of Criminal and Court Records that must not be older than three months;
- h) the proof of proper enrolment;
- i) if applicable, proof of successfully completed doctoral studies in accordance with § 7 section 1 sentence 3, section 1a.

(3) <sup>1</sup>After submission of the application and review of the formal prerequisites, the Doctoral Committee decides on the admission to take the doctoral examination. <sup>2</sup>Once all prerequisites have been satisfied, it shall thereupon open the examination process and shall appoint the Examination Board and its chairperson. <sup>3</sup>In the admission to the doctoral examination, the doctoral candidate acquires the rightful claim to a review of his or her thesis.

(4) The doctoral candidate will receive notification of admission or rejection at least in text form, in the case of rejection with information on legal remedies.

(5) <sup>1</sup>It is permitted to withdraw an application for admission to the doctoral examination, as long a decision on acceptance or rejection of a thesis is pending. <sup>2</sup>An attempt to take the doctoral examination does not count as taken in case of a legal withdrawal.

## § 11 Thesis

(1) The topic of the thesis must be chosen from the research area for which the student has been accepted as a doctoral candidate or from the field of the programme for which the student has been admitted.

(2) <sup>1</sup>The thesis must be an independent scientific achievement by the doctoral candidate, and must represent a substantial and innovative contribution to the advancement of scientific knowledge. <sup>2</sup>It must demonstrate that the doctoral candidate possesses the capability to independently apply flawless methodologies to resolve scientific issues in the selected research area and to present the results clearly and in a manner typical of the research area. <sup>3</sup>In addition to the written presentation, the thesis may also

consist of a film or a different audiovisual media format, provided this is included for the research area in Appendix I. <sup>4</sup>The thesis must not have been or be used for any other doctoral or comparable process at a university in Germany or abroad, unless otherwise specified in these regulations. <sup>5</sup>Sentence 4 applies accordingly to use within the context of other, individual examination components, in particular a Master, Magister or Diplom thesis, unless section 4 sentence 2 specifies a different procedure.

(3) <sup>1</sup>As a rule, the thesis must be written in German or English. <sup>2</sup>A cover page according to the template provided in Appendix IV and a brief curriculum vitae detailing the scientific education must be included. <sup>3</sup>Contrary to sentence 1, the thesis may also be written in a language approved for the research area according to Appendix I. <sup>4</sup>The Doctoral Committee decides on exceptions upon application by the doctoral candidate; the application can be rejected without statement of reasons. <sup>5</sup>All members of the Examination Board must have a sufficient command of the examination language. <sup>6</sup>A German-language summary must be enclosed if the thesis is not written in German; it must state the key lines of argument contained in each section.

(4) <sup>1</sup>Results that are already published can be incorporated in the thesis, provided in an aggregate sense they do not account for more than 50 per cent of the overall scope of the thesis; they must be annotated according to good scientific practice. <sup>2</sup>Results of other examination components produced by the doctoral candidate may be used up to an aggregate amount of one third of the total scope of the thesis; they must be annotated according to good scientific practice.

(5) <sup>1</sup>A joint piece of work can be recognised as an independent academic performance if the contribution made by the doctoral candidate can be irrefutably delimited as an individual performance suitable of assessment, and provided the joint work permits a methodically meaningful enlargement on the treatment of the selected topic and justified reasons are provided. <sup>2</sup>Each contribution is to be separately assessed as a thesis.

(6) <sup>1</sup>Submission of at least three thematically independent scientific contributions belonging to the same research area that were accepted or considered capable for publication according to an external scientific review procedure can also apply as thesis, provided this is governed for a research area by Appendix I. <sup>2</sup>The evaluator shall decide on suitability for publication in this case. <sup>3</sup>For at least one of the contributions, the doctoral candidate should be the sole author. <sup>4</sup>Depending on the number of contributions drafted by co-authors, the number of required contributions according to sentence 1 may rise. <sup>5</sup>Depending on the quality of the contributions, the requirement for sole authorship of a contribution may be released. <sup>6</sup>The Doctoral Committee decides on this in consultation with the Thesis Committee. <sup>7</sup>For a publication with several authors, it should be possible to clearly delimit and assess the contributions of each doctoral candidate; a declaration confirming the contribution made by the candidate must be submitted in this respect. <sup>8</sup>Publications should be supplemented by a plausible introduction into the scientific questions underlying the publications as well as a summary, in which the own results are classified in the professional context and a list of literature. <sup>9</sup>The cumulative thesis should be presented in a bound form, § 10 section 2 letter a) applies accordingly. <sup>10</sup>In the event that individual contributions are not yet published, the submission of a letter by the publishing house, which confirms acceptance of the contribution for publication and essential compliance to the submitted version with regard to content, is also sufficient for meeting the obligation for publication under § 24 section 1. <sup>11</sup>This is without prejudice to the right to publish the cumulative thesis as a whole in accordance with § 24 section 3.

(7) The regulations made in accordance with sections 2 sentence 3, 3 sentence 3 and 6 sentence 1 in Appendix I shall also apply to doctoral programmes, insofar as the dissertation is written in the field of the respective subject area.

## § 12 Evaluators; Examination Board

(1) <sup>1</sup>The Doctoral Committee appoints two evaluators to assess the thesis; they must be authorised examiners, and at least one member must sit on the Thesis Committee, in most cases the first academic advisor. <sup>2</sup>In exceptional cases, the Doctoral Committee shall name additional reviewers, especially for interdisciplinary or interfaculty work.

(2) <sup>1</sup>Besides the evaluators according to section 1, the Examination Board shall consist of the members of the Thesis Committee who are authorised examiners and may include other members who are authorised examiners. <sup>2</sup>It has at least three members. <sup>3</sup>At least two members must be authorised examiners in the research area of the thesis or in closely related research areas; authorisation to adjudicate examinations in other research areas of the humanities shall otherwise be sufficient, provided the person is in possession of the necessary expertise to adjudicate in the specific examinations. <sup>4</sup>The Doctoral Committee appoints the members, including the chairperson. <sup>5</sup>The doctoral candidate has the right to make proposals, but this shall not constitute a legal entitlement.

(3) <sup>1</sup>Retired professors or professors granted leave of access may not act as evaluators or examiners in the doctoral process for a period longer than three years after the end of their tenure. <sup>2</sup>The Doctoral Committee will decide on exceptions upon receipt of an application in this respect; exceptions should be approved in particular if the professor continues to engage in research or teaching.

(4) In the event that additional evaluators or examiners are appointed over the course of the examination process, they shall also be appointed members of the Examination Board as specified under the provisions of this regulation.

(5) Abstentions are not permitted in rulings by the Examination Board.

## § 13 Authorisation to take the examination

(1) All scientists holding a doctorate may be appointed authorised examiners, provided they

1. are involved in duties relating to research or research and teaching at a university or an extramural research institution, and
2. either hold the legal right to oversee doctoral studies in a research area of the humanities or have in another way proven their suitability as authorised examiners; in particular, this proof shall require that the following has been completed in a field of the humanities
  - a) a habilitation or professorial appointments procedure was successfully completed, or
  - b) procedure equivalent to a habilitation or professorial appointments procedure was completed.

(2) <sup>1</sup>The responsibility for appointments as authorised examiners in accordance with section 1 is within the remit of the Faculty Council. <sup>2</sup>Examination authorisation can be granted for one or several research areas.

(3) <sup>1</sup>Unlike in sections 1 and 2, the Doctoral Committee can, in exceptional cases, grant examination authorisation restricted to a certain doctoral process (individual examination authorisation) to a person holding a Doctoral degree, provided their participation in the doctoral examination is necessary or advantageous, e.g. for interdisciplinary research projects, or research projects across several locations. <sup>2</sup>Insofar as the person has not at least completed a habilitation or a procedure equivalent to a professorial appointments procedure, an appointment as set forth in sentence 1 shall only be permitted with approval of the Management Board at the institution responsible for the research area.

## § 14 Acceptance or rejection and assessment of the thesis

(1) <sup>1</sup>Each evaluator should produce an assessment of the thesis within three months after filing the thesis, in which they propose:

- a) to accept the thesis,

b) to reject the thesis or

c) to return the thesis for revision, if he or she would recommend a rejection otherwise.

<sup>2</sup>Each evaluator should produce a written review on the thesis within six months of its submission; this review shall provide reasoning for the proposal according to sentence 1.

(2) An evaluator is entitled to use suitable software, in particular plagiarism detection software, to determine whether the thesis submitted was completed independently and without unauthorised assistance and in particular to determine whether any text passages or other sources were incorporated without annotation.

(3) In the event that acceptance of the thesis is recommended, the review as described under section 1 sentence 2 should also recommend a grade:

a) summa cum laude (with distinction),

b) magna cum laude (very good),

c) cum laude (good),

d) rite (satisfactory).

(4) If all evaluators agree on acceptance and/or rejection of the thesis, it is accepted or rejected, subject to an appeal as stated under § 15 section 2.

(5) <sup>1</sup>Insofar as the evaluators do not agree on acceptance or rejection, or insofar as their assessments deviate by more than one grade, the Examination Board will, upon consultation of a further review, make a final decision on acceptance and grade, rejection or return of the thesis for revision. <sup>2</sup>The additional evaluator becomes a member of the Examination Board; the additional review is to be treated in the same manner as the reviews previously carried out. <sup>3</sup>The Examination Board shall otherwise decide on the acceptance and grade, rejection or return of the thesis for revision based on the assessments on hand, especially in the case of an objection in accordance with § 15 section 2. <sup>4</sup>The assessment "summa cum laude" may only be awarded if it is recommended unanimously by at least two evaluators. <sup>5</sup>Objections according to § 15 section 2 must be given appropriate consideration.

(6) <sup>1</sup>The Examination Board must set an appropriate deadline for completion of the revision. <sup>2</sup>If a thesis returned for revision is not submitted once more within this deadline, it is regarded as rejected. <sup>3</sup>In the event that the thesis is submitted within the specified deadline, the further procedure will be decided based on sections 1 to 5; however, the thesis may not be returned for revision on another occasion.

(7) <sup>1</sup>In the case of rejection of thesis, reapplication for permission to take the doctoral examination is possible only once and not before the end of a year. <sup>2</sup>In this case, a new or considerably improved thesis must be submitted. <sup>3</sup>Here, notification of the unsuccessful attempt must be made. <sup>4</sup>If this thesis is also rejected, then the doctoral examination is definitively failed.

(8) <sup>1</sup>The Examination Office informs the doctoral candidate of the decision to accept or reject the thesis at least in text form. <sup>2</sup>Acceptance of the thesis shall also constitute admission to the oral examination. <sup>3</sup>In the event of rejection on the first occasion, notification will be provided with reference to the terms of section 6 concerning the option to resubmit the proposal; instructions on the rights of legal recourse will be provided with a notice of rejection.

## § 15 Review

(1) After receipt of the reviews and assessments in accordance with § 14 sections 1 and 3, the Examination Office will send to the authorised examiners who are members or fellows of the Faculty of Humanities notification of the received reviews and will therein set a deadline of at least ten working days for a confidential inspection of the reviews and the thesis.

(2) If an authorised examiner raises objections, at least in text form, against the proposed acceptance or rejection of the thesis or a proposed grade, the Doctoral Committee may appoint a further reviewer for the thesis.

(3) The additional reviewer becomes member of the Examination Board; the additional review is to be treated in the same manner as the already carried out reviews.

## § 16 Reference copy

The thesis remains in the files of the Examination Office together with all reviews..

## § 17 Form of the oral examination

(1) <sup>1</sup>The oral examination can be conducted as oral defense (disputation) or doctoral viva (rigorosum) depending on the preference of the doctoral candidate. <sup>2</sup>The first academic advisor should advise the doctoral candidate on the selection of form for the oral examination.

(2) <sup>1</sup>The examination language is German or English; this also applies to doctoral programmes, insofar as the oral examination is taken in the field of the respective subject area. <sup>2</sup>Contrary to sentence 1, the oral examination may also be taken in a different language approved for the research area according to Appendix I. <sup>3</sup>The Doctoral Committee decides on exceptions upon application by the doctoral candidate; the application can be rejected without statement of reasons. <sup>4</sup>All members of the Examination Board must have a sufficient command of the examination language.

(3) <sup>1</sup>The date of the oral examination will be scheduled by the Examination Office following receipt of all reviews and following the decision on acceptance of the thesis; the doctoral candidate will receive written notification at least one week in advance of the scheduled date. <sup>2</sup>The oral examination should not be later than six months after admission to take the doctoral examination. <sup>3</sup>Unlike in sentences 1 and 2 as well as § 14 section 8 sentence 2, the oral examination can be held, upon application by the doctoral candidate, before the end of the thesis consultation period according to § 15 section 1, if there are otherwise reasons to fear a substantial delay in the examination procedure; in this case, admission to the oral examination shall be granted conditional upon an objection according to § 15 section 2. <sup>4</sup>Insofar as the thesis is rejected following receipt of an objection according to § 15 section 2, any oral examination as set forth in sentence 3 shall be considered void.

(4) <sup>1</sup>Minutes must be taken on the progression of the oral defense; it must be signed by the attending members of the Examination Board. <sup>2</sup>The Examination Board can call on an assessor holding a Doctoral degree to produce the minutes.

## § 18 Disputation (oral defense)

(1) The disputation (oral defense) is intended that the candidate may prove that he or she is familiar with the state of current research in the research area of the thesis and that the candidate has fundamental specialised knowledge even beyond the specific research area contained in the thesis and that the candidate is equipped to independently consider scientific problems and to present and defend them in argument.

(2) <sup>1</sup>The oral defense consists of two parts. <sup>2</sup>In the first part, the doctoral candidate shall explain the goals and results of his or her thesis in the form of a presentation lasting a maximum of 20 minutes, and shall furthermore answer relevant questions. <sup>3</sup>In the second part of the oral defense, the doctoral candidate will receive questions from the examiners that relate to the subject areas and methodical questions concerning the speciality as a whole and related research areas, also on the larger scientific context that includes the thesis.

(3) The oral defense shall last at least 90 and no more than 120 minutes.

(4) <sup>1</sup>The Examination Board will take the examination, and at least two thirds of its members must be in attendance, including at least two evaluators of the thesis. <sup>2</sup>The Examination Board is entitled to allow questions to be put to the doctoral candidate from the university public.

(5) <sup>1</sup>The oral defense is open to the university public. <sup>2</sup>Upon application of the doctoral candidate, the university public may be excluded, provided there is an important reason. <sup>3</sup>Ruling thereon is passed by the Examination Board. <sup>4</sup>The university public must be informed of the date of the oral defense in a suitable form.

## § 19 Doctoral viva

(1) In the doctoral viva (rigorosum) the doctoral candidate should prove thorough and interdisciplinary education in the humanities and decision-making capability.

(2) <sup>1</sup>The examination shall cover two or three research areas and shall last approx. 120 minutes in total. <sup>2</sup>The examination will focus for approx. 60 minutes on the research area of the thesis as the main subject, and will then proceed to focus for approx. 60 minutes on a second research area as a main subject, or for approx. 30 minutes respectively on two other research areas as subsidiary subjects. <sup>3</sup>Besides the research areas as indicated in Appendix I, electable main and subsidiary subjects shall also include all of the research areas that can be taken as module packages outside of the specific subject as part of a Master degree course at the Faculty of Humanities. <sup>4</sup>The Doctoral Committee can approve further exceptions on application, provided that there is an authorised examiner available at the University of Göttingen for the research area in question; an application does not constitute a legal entitlement.

(3) The Examination Board presides over the examination; at least two thirds of the members of the Examination Board, and no fewer than three members, must be in attendance, including at least one authorised examiner in the three selected examination research areas, respectively.

(4) <sup>1</sup>Doctoral candidates, who will soon be holding the oral examination themselves, can follow the examination, provided the examinee provides consent. <sup>2</sup>This right does not extend to advice and notification of the examination result.

## IV. Pass, fail, appeals procedure; completion of the doctoral studies

### § 20 Individual and overall grades of the doctoral studies

(1) Directly after the oral examination, the Examination Board decides in a non-public procedure whether the entire examination has been passed.

(2) <sup>1</sup>The following grades can be awarded for the oral examination:

summa cum laude	(with distinction)	(0)
magna cum laude	(very good)	(1)
cum laude	(good)	(2)
rite	(satisfactory)	(3).

<sup>2</sup>In the case of the doctoral viva, the grades (apart from the grade summa cum laude) can be increased by 0.3 or (with the exception of the grade rite) reduced by the same amount; it is also possible to grade certain parts of the examination as "non rite" (insufficient).

(3) The grade of the oral defense is awarded by resolution of the Examination Board.

(4) <sup>1</sup>The grade in the doctoral viva represents the weighted grade point average of the grades in the individual examination subjects; an assessment of individual examination parts as "non rite" constitutes the award of a grade point of 4. <sup>2</sup>Here, a multiplier of 2 is applied to the main subject, and a multiplier of 1 to the subsidiary subject. <sup>3</sup>The grade is, for an average of:



up to and including 0,50	summa cum laude,
up to and including 1,50	magna cum laude,
up to and including 2,50	cum laude,
up to and including 3,50	rite.

<sup>4</sup>Each member of the Examination Board awards a grade for each main and subsidiary subject in which he or she is an authorised examiner. <sup>5</sup>The grades in the individual examination subjects represent the grade point averages of the assessments awarded to the examination subject; sentence 3 applies accordingly.

(5) <sup>1</sup>Following the oral examination, the chairperson of the Examination Board shall inform the candidate of the results of the doctoral examination. <sup>2</sup>In the event of passing, he or she shall inform the candidate immediately that the Doctoral degree must not be used before award of the doctorate. <sup>3</sup>Upon application, the doctoral candidate receives a written certificate that the examination has been passed; this must also detail that the Doctoral degree may not be used before award of the doctorate.

## § 21 Failure, discontinuance, retake of the oral examination

(1) <sup>1</sup>An insufficient performance in the oral examination will receive the assessment "non rite"; an oral examination receiving the overall assessment of "non rite" is considered failed; a doctoral viva is considered failed if the average grade is above 3.50 as set forth in § 20 section 4. <sup>2</sup>If the candidate fails to attend the examination or withdraws from the examination, then the examination is considered failed, unless there is good cause. <sup>3</sup>Good cause must be notified immediately to the Doctoral Committee at least in text form and must be made plausible. <sup>4</sup>In case of withdrawal or failure to attend due to illness, a medical certificate specifying the expected duration of the disease causing the inability to take the examination should be produced immediately, unless the illness is obvious. <sup>5</sup>If the reasons are accepted, a new examination date is scheduled.

(2) <sup>1</sup>A failed oral examination can be repeated within a year upon application of the doctoral candidate; however, this shall be no earlier than after six months. <sup>2</sup>A second repetition shall not be permitted; failed examinations in a comparable Doctoral degree programme at other universities shall be added. <sup>3</sup>If the oral examination has been irrevocably failed, the doctoral examination shall also be deemed irrevocably unsuccessful.

(3) <sup>1</sup>An attempt at repetition must be completed before the same Examination Board. <sup>2</sup>The Doctoral Committee will appoint new examiners as necessary.

## § 22 Safeguarding provisions

(1) <sup>1</sup>If the examinee makes it plausible that he or she is not in a position (e.g. due to long-lasting or permanent physical impairment or chronic disease) to complete the examination components entirely or partly in the intended form, then he or she should be able to complete the examination components in an extended processing time or equivalent examination components in another form. <sup>2</sup>A medical certificate from a specialist physician must be produced in original. <sup>3</sup>Submission of a copy is not sufficient. <sup>4</sup>The Doctoral Committee rules in this effect.

(2) <sup>1</sup>Insofar as compliance with the deadlines for the initial registration for examinations, retake, reasons for not attending the examinations and compliance with processing times for examination papers are affected, the illness of the examinee and the illness of and the necessary sole care for a near relative are considered equivalent. <sup>2</sup>Close relatives are the candidate's children, parents, grandparents, married partner and life partner, also their children.

(3) Study or examination components may not be fulfilled by pregnant women, if the life or health of the mother or child is endangered according to the medical certificate.

(4) <sup>1</sup>The doctoral candidate must not be placed at a disadvantage due to the provisions under section 3 and the Maternity Protection Act. <sup>2</sup>Fulfilment of the prerequisites under sections 1 to 3 should be

evidenced through suitable documents, for example medical certificates from specialist physicians, birth certificates, certifications of the local residents' registration office, etc.

### § 23 Decision, objection

(1) <sup>1</sup>Rejecting decisions and other onerous acts of administration taken in accordance with these regulations must be justified at least in text form, accompanied by information on legal remedies and communicated to the doctoral candidate. <sup>2</sup>These decisions can be objected within one month after publication of the notice, as far as this notice concerns the review of an examination result.

(2) The objection must be lodged at least in text form or in transcript.

(3) The Doctoral Committee decides on the objection in consideration of the procedure under section 4, unless the objection is cleared off.

(4) <sup>1</sup>Insofar as the appeal refers to a specific examination assessment by a certain examiner, the Doctoral Committee shall forward the appeal to this person for review. <sup>2</sup>If the examiner changes the assessment according to the objection, then the Doctoral Committee shall sustain the objection. <sup>3</sup>Otherwise, it reviews the decision based on the statement by the examiner, especially based on whether

- a) the examination procedure has been properly carried out,
- b) the generally valid assessment principles have been followed,
- c) the examiner has not been influenced by irrelevant considerations.

<sup>4</sup>The same applies if the appeal is against an assessment by several examiners. <sup>5</sup>In the event that there should be a violation in accordance with sentence 3, a further review on the thesis shall be obtained accordingly or the oral examination will be repeated with an examiner as yet uninvolved in the procedure.

<sup>6</sup>Reassessment must not lead to deterioration in the examination assessment.

(5) <sup>1</sup>If the objection is not sustained, a notice of rejection is issued. <sup>2</sup>The Dean of Studies is responsible for the issuing. <sup>3</sup>The notice of rejection should be reasoned, issued with instructions on rights of legal remedy and served to the appellant.

### § 24 Publication of the thesis

(1) Doctoral candidates must publish their thesis.

(2) <sup>1</sup>Conditions imposed by the evaluators concerning changes in content must be considered in the publication. <sup>2</sup>Ruling thereon is passed by the examinations board in the event of differences. <sup>3</sup>Once all of the conditions are satisfied, the first academic advisor must without delay approve by signature on the revision certificate (Appendix V) the final version for publication; in the event that he or she did not act as an evaluator, the Examination Board will appoint one of its members to issue the revision certificate.

(3) Publication takes place in the form of printing of the thesis as a dissertation print, in an academic journal, in an academic series, as an independent printed or electronic publication in the publishing trade, as an electronic publication on the document server of the Göttingen State and University Library or by a comparable academic information infrastructure.

(4) The Faculty Council may permit other publication forms in individual cases.

(5) <sup>1</sup>The doctoral candidate must submit a definite number of printed copies of the publications of his/her thesis (obligatory copies) to be specified by the faculty council's decision for the respective publication form, free-of-charge to the faculty. <sup>2</sup>These must be submitted to the Examination Office within two years of passing the oral examination. <sup>3</sup>If the doctoral candidate fails to meet this deadline, all rights acquired through the examination become void. <sup>4</sup>The Doctoral Committee can extend the deadline for

submission, but by no more than a further two years. <sup>5</sup>This requires a plausible application filed by the doctoral candidate before expiry of the two-year deadline according to sentence 3.

(6) <sup>1</sup>The obligatory copies shall be provided with a title page which shall be designed in accordance with the template in Appendix IV. <sup>2</sup>The thesis should be followed by a short printed c.v. that depicts the scientific educational career of the doctoral candidate besides details about date and place of birth, nationality and duration of study at each one of the universities according to the sequence of their attendance. <sup>3</sup>The Doctoral Committee may approve exemption from the regulations set forth in sentences 1 and 2; they do not apply to the copies of the work published in the publishing trade or in an electronic form.

(7) <sup>1</sup>Publication in the form of single contributions in publications with external review procedures is also considered a publication, insofar the publications represent the contents of the thesis as a whole. <sup>2</sup>This is confirmed in the revision certificate (section 2). <sup>3</sup>The provision set forth in section 6 applies accordingly. <sup>4</sup>If the thesis is published in parts in accordance with sentence 1, but without reflecting the contents as a whole, the provisions of the sections 2 to 6 apply accordingly to the as yet unpublished parts.

(8) <sup>1</sup>Should there be good cause and upon joint application of the doctoral student and his or her first academic advisor, publication may be managed initially in the manner that only the abstract is made publicly available for a certain period and not the complete thesis manuscript. <sup>2</sup>Good cause shall apply in particular if

- a) parts of the thesis were accepted for publication in a journal or a publishing house and prior publication of these parts is inadmissible according to the underlying contract, or
- b) this is necessary for the protection of intellectual property, because otherwise the registration of intellectual property rights would be jeopardised, the publication depends on the consent of third parties or scientific data directly related to the dissertation are to be published in another way first.

<sup>3</sup>The period according to sentence 1 ends one year after the day of the passed oral examination. <sup>4</sup>The Examination Board decides on the existence of good cause. <sup>5</sup>The application must be made before the date of the oral examination. <sup>6</sup>A further extension may be granted once for a maximum of one additional year; the Doctoral Committee shall decide on this upon application; the application for extension may only be submitted if the revision certificate pursuant to section 2 sentence 3 and Appendix V are submitted at the same time. <sup>7</sup>The obligatory copies according to section 5 sentence 1 must be submitted by the end of the period specified in sentences 1 and 6 at the latest.

## § 25 Award of the doctorate

(1) <sup>1</sup>Once the doctoral candidate has satisfied all obligations as defined under these regulations, in particular submission of the obligatory copies, the Examination Office shall conclude the doctoral studies by awarding the doctoral certificate according to the template in Appendix VI; the certificate will be in the German, English or Latin language at the discretion of the doctoral candidate. <sup>2</sup>An 'official translation' shall also be issued in the English language if the certificate is in German or Latin. <sup>3</sup>The day of the passed oral examination is considered the date of doctoral graduation. <sup>4</sup>Those who apply for this will receive the certificate in adapted wording without gender-typing salutations and personal pronouns.

(1a) At the same time as the doctoral certificate pursuant to section 1, sentence 1 and, if applicable, the "Official Translation" pursuant to section 1, sentence 2, the doctoral candidate may be provided with digital versions of these documents in text form; these shall each contain a password-protected link to retrieve a scan of the signed original document from the university's server.

(2) <sup>1</sup>Contrary to section 1 sentence 1, the doctorate can be awarded before the obligatory copies under § 24 section 5 are submitted, if

- a) instead of the obligatory copies, a publishing house contract with an accredited scientific publishing house is submitted and the same publishing house moreover declares in writing that the printing and submission of the obligatory copies are guaranteed within a maximum period

of two years following the oral examination, or another important reason in accordance with § 24, section 8, sentence 2 has been proven, and

b) publication has taken place in accordance with § 24 section 8.

<sup>2</sup>The doctorate is awarded in this case under reservation of the fulfilment of obligations according to § 24 section 5. <sup>3</sup>If this obligation is violated, all rights acquired through the examination, especially the right to carry the Doctoral degree, become void. <sup>4</sup>The doctoral certificate shall be returned immediately in this case. <sup>5</sup> In this case, the doctoral candidate shall have the opportunity to fulfil the requirements for the completion of the doctorate again until the expiry of the period pursuant to § 24, section 5, sentences 2 and 4; otherwise, all rights acquired through the examination, in particular the right to use the doctoral degree, shall expire.

(3) <sup>1</sup>The Doctoral degree may not be used before award of the doctoral certificate. <sup>2</sup>The title "Dr. des." must not be used.

(4) Doctoral studies are complete upon award of the doctoral certificate.

## § 26 Inspection of the examination records

<sup>1</sup>In the period between conclusion of the oral examination and awarding the doctoral degree or within four weeks after return or rejection of the thesis or after failure of the oral examination, the doctoral candidate is entitled to inspect the written reviews and the minutes of the examination. <sup>2</sup>Copies of the record content can also be issued.

## § 26a Measures in the event of significant impairment of university operations

<sup>1</sup>In the event of a considerable impairment of university operations of more than four weeks or of indefinite duration, in particular in the case of an epidemic or pandemic, the Doctoral Committee may decide the following in order to ensure teaching and examination operations, if necessary in deviation from the subject-specific regulations:

- a) for individual or several courses or modules, the suspension of compulsory attendance or other course work or prerequisites for examinations which require attendance on university premises; in this case, the person responsible for the module or programme may determine an appropriate substitute course work;
- b) the possibility of carrying out an oral course work or an oral performance record, in whole or in part, by means of a suitable system by way of video and audio transmission (e.g. videoconferencing), insofar as this is organisationally possible and reasonable;
- c) the reasonable extension of a time limit pursuant to § 7 section 4, sentences 1 and 2, for the doctoral candidates affected by the significant impairment of university operations, taking into account the duration of the impairment and its impact on the procedure;
- d) the conduct of oral examinations (disputation or viva voce) by means of a suitable system of video and audio transmission (e.g. video conferencing) by decision of the Examination Board, provided the candidate agrees to this procedure at least in text form; in the case of a disputation, the university public shall be involved in such a way that interested persons are enabled to participate, e.g. in a video conference, insofar as this is technically reasonable and does not jeopardise the undisturbed conduct of the examination;
- e) the possibility for students on leave of absence to participate in study achievements and examinations, insofar as the leave of absence is based on the same reason as the significant impairment of university operations;
- f) the possibility for former students to take examinations, provided they were enrolled prior to the commencement of the significant impairment of university operations pursuant to § 7 section 5 and the entitlement to take examinations has not lapsed for other reasons; the date of enrolment may not be more than one year ago.

<sup>2</sup>The Doctoral Committee, programme and module supervisors must take into account that the purpose of the course work to be substituted can also be achieved to the greatest possible extent by the type of

performance determined as a substitute. <sup>3</sup>A resolution in accordance with sentence 1 may be passed in a general manner for one or more subject areas; the doctoral candidates shall be informed of this in a suitable form. <sup>4</sup>If an academic performance is carried out in a form other than that stipulated by the regulations or the module list, the doctoral candidate must declare his or her consent in text form or, in the case of an oral performance, upon commencement of the performance, waiving the right to reprimand; if doctoral candidates do not take part in a course of study in a form other than that stipulated by the regulations or the module list or, in the case of the disputation, do not declare their consent in accordance with sentence 1 letter d), the doctoral candidate shall not be deemed responsible for exceeding the deadline in accordance with § 7 section 5 due to this non-participation.

## V. Invalidity of the Doctoral degree examination; withdrawal of the doctorate

### § 27 Invalidity of the Doctoral degree examination; withdrawal of the doctorate

- (1) The Doctoral degree examination can be declared void and the doctorate can be withdrawn,
  - a) if it is subsequently ascertained that the doctorate was acquired through fraudulence, or if essential prerequisites for the admission to the doctoral examination or award of the Doctoral degree were wrongly presumed to be fulfilled,
  - b) if it is subsequently ascertained that the holder of the Doctoral degree did, due to grossly negligent or intentional conduct in violation of the obligation to uphold scientific integrity,
    - ba) prove unworthy of being awarded a Doctoral degree, or
    - bb) prove unworthy of holding a Doctoral degree due to subsequent behaviour.
- (2) In the event that there is a lawful conviction for a criminal offence in connection with the doctoral studies, the doctoral examination shall be declared void and the doctorate shall be withdrawn.
- (3) <sup>1</sup>The Faculty Council shall rule in cases governed by sections 1 and 2 by a majority vote of its members holding a Doctoral degree. <sup>2</sup>In cases of suspected academic misconduct, the university ombudsman committee first examines whether the initial suspicion of academic misconduct is likely to exist. <sup>3</sup>Notification is issued by the Dean. <sup>4</sup>The notice must be served to the recipient in question.

## VI. Honorary doctorates, renewal of the doctoral certificate

### § 28 Awarding the honorary doctorate

- (1) <sup>1</sup>The title of honorary doctor of philosophy can be awarded in exceptional cases in recognition of particular academic merits. <sup>2</sup>Exclusively members and fellows of the Faculty of Humanities are entitled to submit proposals for persons to be honoured in this way. <sup>3</sup>The honorary doctorate is awarded by resolution of the Faculty Council; a resolution shall require a majority of four fifths of the members eligible to vote, including a majority of four fifths of the members of the professoral group. <sup>4</sup>The decision should be prepared by a commission of the Faculty Council, assembled by groups of membership.
- (2) <sup>1</sup>The honorary doctorate is awarded by the Dean in the form of a degree certificate. <sup>2</sup>This degree certificate shall list the scientific merits that led to the award of the honorary doctorate.

### § 29 Renewal of the doctoral certificate

The doctoral certificate according to § 25 section 1 can be renewed 50 years after the successfully passed doctoral examination.

## VII. Double doctorates

### § 30 Prerequisites for a joint supervision procedure

- (1) A doctoral process carried out jointly with a foreign university or faculty sets the prerequisites that
1. an agreement on the international supervision of this doctoral studies has been made with the foreign university or faculty or an individual cooperation contract for completion of a double Doctoral degree has been concluded with the foreign university or faculty;
  2. admission to the Doctoral degree has been granted to the doctoral candidate at both the University of Göttingen and the foreign university or faculty.
- (2) <sup>1</sup>The thesis can be submitted at the University of Göttingen or the foreign university or faculty according to more detailed regulation in the agreement under section 1 no. 1. <sup>2</sup>A thesis that has been submitted at the foreign university or faculty before conclusion of the agreement in accordance with section 1 no. 1 and has been accepted or rejected there cannot be resubmitted at the University of Göttingen. <sup>3</sup>The agreement under section 1 no. 1 must ensure that a thesis submitted at the University of Göttingen and accepted or rejected there before conclusion of the agreement under section 1 no. 1 cannot be resubmitted at the foreign university or faculty.
- (3) <sup>1</sup>During the execution of the doctoral process, the thesis supervision is provided by at least one authorised examiner at the University of Göttingen and one professor at the foreign university or faculty. <sup>2</sup>The organisation of supervision is specified in the agreement under section 1 no. 1.
- (4) <sup>1</sup>If the thesis is submitted at the University of Göttingen, then § 31 shall be applied. <sup>2</sup>If the thesis is submitted at the foreign university or faculty, then § 32 shall be applied.

### § 31 Submission at the University of Göttingen

- (1) <sup>1</sup>Notwithstanding § 12 (provision on composition of the Examination Board), the Doctoral Committee appoints in agreement with the foreign university or faculty an Examination Board, which is to be equally constituted by scientists and scholars of both universities; further information on composition should be regulated in the agreement under § 30 section 1 no. 1. <sup>2</sup>Both academic advisers to the thesis shall be appointed as reviewers.
- (2) <sup>1</sup>If the thesis was accepted at the University of Göttingen, then it will be passed on to the foreign university or faculty for approval on continuation of the process. <sup>2</sup>If the foreign university or faculty issues the approval on continuation of the process, then an oral examination takes place according to the provisions of §§ 17 to 21; different procedure as described in §§ 17 to 21 may be applied according to the terms of the agreement in § 30 section 1 no. 1 in justified exceptions.
- (3) <sup>1</sup>If the thesis is accepted by the University of Göttingen, but the approval for continuing the process is rejected by the foreign university or faculty, the joint procedure is terminated. <sup>2</sup>The doctoral process is continued according to the general provisions of these regulations. <sup>3</sup>A new Examination Board is to be appointed for the examination according to § 12 (provisions for appointing the Examination Board).

### § 32 Submission at the foreign university or faculty

- (1) <sup>1</sup>If the thesis is submitted at the foreign university or faculty, then the foreign university or faculty decides on its acceptance and/or continuation of the process after reviewing the thesis, and will thereupon inform the University of Göttingen. <sup>2</sup>If a positive ruling is issued, the Faculty of Humanities shall decide in accordance with § 14 and after submission of all required reviews. <sup>3</sup>The Dean announces the result to the foreign university or faculty. <sup>4</sup>Further, he or she shall announce the names of the

reviewers of the University of Göttingen to be appointed to the Examination Board; this board shall be staffed by scientists from both universities. <sup>5</sup>The oral examination takes place at the foreign university or faculty. <sup>6</sup>In deviation from sentences 1 to 3, the agreement pursuant to § 30 section 1 no. 1 may provide that a dissertation accepted in the procedure of the foreign university or faculty shall also be deemed to have been accepted at the Faculty of Humanities of the University of Göttingen, provided that at least one member of the faculty authorised to conduct examinations was involved as an examiner in the procedure of the foreign university or faculty and has himself/herself recommended the acceptance of the dissertation.

(2) <sup>1</sup>If the thesis is rejected at the University of Göttingen, then the joint procedure is concluded. <sup>2</sup>The rejected thesis may not be resubmitted at the University of Göttingen. <sup>3</sup>The decisions on the reassessment of the Doctoral degree remain unaffected.

(3) <sup>1</sup>If the thesis is rejected at the foreign university or faculty, then the joint procedure is concluded. <sup>2</sup>The thesis can be submitted at the University of Göttingen. <sup>3</sup>The doctoral process is continued according to the general provisions of these regulations. <sup>4</sup>A new Examination Board is to be appointed for the examination according to § 12 (provisions for appointing the Examination Board).

### § 33 Joint Ph.D. certificate

<sup>1</sup>Successful completion of the doctoral process in co-supervision with a foreign university or faculty is followed by the award of a joint PhD certificate, which is signed by both universities and from which it is clear that it is a Doctoral degree for scientific achievement awarded jointly by the concerned universities. <sup>2</sup>In the event that it is not possible to issue a joint doctoral certificate, the doctoral certificate of the University of Göttingen will be issued with the addendum that the Doctoral degree was earned based on a joint doctoral process conducted with the foreign university or faculty.

## VIII. Final provisions

### § 34 Entry into force; interim regulations

(1) This regulation enters into force following its promulgation in the official announcements I of Georg-August-Universität Göttingen as per 01.10.2015.

(2) At the same time, the general Doctoral degree regulations of the Faculty of Humanities at the University of Göttingen in the version contained in the announcement dated 01.08.2002 (official announcements 11/2002 p. 323), last amended by resolution of the presidential board on 03.07.2012 (official announcements I 23/2012 p. 1218), shall be abrogated.

(3) <sup>1</sup>Doctoral candidates who were admitted as doctoral candidates before this regulation came into force will be examined based on the provisions of this regulation. <sup>2</sup>Contrary to sentence 1, they will continue to be examined based on the regulations applicable to them until this time, subject to an application that must be placed within one year of this regulation coming into force; §§ 24 and 25 of this regulation shall remain applicable in these cases. <sup>3</sup>Sentences 1 and 2 do not apply to doctoral candidates who were accepted as doctoral candidates before 08.08.2002; they shall continue to be examined exclusively according to the regulations applicable to them so far. <sup>4</sup>doctoral examination according to other regulations than those specified herein shall be conducted at the Faculty of Humanities for the last time at the end of the summer semester 2019.

Appendix I Research areas for the thesis; subject relevance and qualifications for entry; examination languages; form of the thesis (re. §§ 4 section 1 sentence 7, section 5, 11 section 2 sentence 3, section 3 sentence 3, section 6, 17 section 2 sentence 1)

## 1. Permissible research areas of the thesis

Candidates may select their thesis from the following research areas:

- Egyptology
- General Linguistics
- Ancient History
- Ancient Oriental Studies
- Arabic Studies / Islamic Studies
- Bioethics
- Christian Archaeology and Byzantine Art History
- German Philology (Medieval German Language and Literature)
- German Philology (German Linguistics)
- German Philology (Modern German Literature)
- Didactics of Biology
- Didactics of the Chinese Language and Literature
- Didactics of the German Language and Literature
- Didactics of the English Language, Literature and Culture
- Didactics of the French Language and Literature
- Didactics of History
- Didactics of the Italian Language and Literature
- Didactics of the Spanish Language and Literature
- Digital Humanities
- English Philology (English Literature and Cultural Studies)
- English Philology (Medieval English Language and Literature)
- English Philology (English Linguistics)
- Specialised Didactics of Ancient Languages
- Finno-Ugric Philology
- Deaf Studies
- Greek Philology
- Indo-Germanic Linguistics
- Indology
- Intercultural German Studies
- Iranian Studies
- Classical Archaeology
- Comparative Studies (General and Comparative Literature and Cultural Studies)
- Coptology
- Cultural Anthropology/European Ethnology
- Art History
- Latin Philology
- Latin Philology of the Middle Ages and the Modern Era
- Linguistic Anthropology and Indigenous American Studies
- Medieval and Renaissance Studies
- Medieval and Modern History
- Musicology
- North American Studies



- East Asian Studies/Modern Sinology
- Eastern European History
- Philosophy
- Psycholinguistics
- Religious Studies
- Romanic Philology (French Literature Studies)
- Romanic Philology (French Linguistics)
- Romanic Philology (Ibero-Romanic Literature Studies)
- Romanic Philology (Ibero-Romanic Linguistics)
- Romanic Philology (Italian Literature Studies)
- Romanic Philology (Italian Linguistics)
- Romanic Philology (Romanic Literature Studies)
- Romanic Philology (Romanic Linguistics)
- Scandinavian Philology (Early Scandinavian Studies)
- Scandinavian Philology (Recent Scandinavian Studies)
- Slavic Philology (Literature Studies)
- Slavic Philology (Linguistics)
- Turkish Studies and Central Asian Studies
- Prehistory and Early History
- Economic and Social History

## 2. Relevant prior degree course and particular qualifications for entry

Research area	Relevant prior degree course (minimum requirements)	Particular qualifications for entry
Egyptology	Prerequisites in ancient and historical, oriental or theological research areas or archaeology and closely related fields in a scope of at least 90 C, including a) examination components in the Egyptology in a scope of at least 30 C, b) a focus on Egyptology, Coptic Studies that are nonphilological in a scope of at least 12 C, and c) proof of language skills in two language levels or of regional dialects of the Egyptian-Coptic language (e.g. Ancient Egyptian, Middle Egyptian, Modern Egyptian, Demotic, Sahidic-Coptic or Bohairic-Coptic) in a scope of at least 12 C.	Proof of good English language skills (at least B2 in CEF).
General Linguistics	Prerequisites in General Linguistics, Linguistics or any philology in a scope of at least 60 C, including prerequisites of at least 36 C in the fields of syntax, phonology, morphology, semantics, pragmatics, empiricism (language courses of the philological subjects, psycholinguistic or corpus-based data collection).	Proof of good English language skills (at least B2 in CEF); proof of sufficient language skills in one other foreign language (at least B1 in CEF).
Ancient History	Prerequisites in ancient or historical studies research areas in a scope of at least 90 C, including prerequisites in Ancient History in a scope of at least 30 C.	Proof of good English language skills (at least B2 in CEF); proof of Latin or Ancient Greek skills by suitable qualifications in the Latin or Greek languages (e.g. Latinum, Graecum). This proof must be submitted within one year following admission as a doctoral candidate; doctoral candidates are given conditional admission until such proof is submitted, and all enrolment in this respect is also conditional.

Research area	Relevant prior degree course (minimum requirements)	Particular qualifications for entry
Ancient Oriental Studies	Prerequisites in the Ancient Oriental Studies in a scope of at least 80 C.	Proof of prerequisites in a scope of at least 48 C in an ancient oriental language; proof of good English language skills (at least B2 in CEF).
Arabic Studies/ Islamic Studies	Prerequisites in Arabic Studies/Islamic Studies or a comparable research area in a scope of at least 80 C or equivalent.	Proof of prerequisites in a scope of at least 41 C in Arabic or a comparable language training on a high academic level.
Bioethics	Prerequisites from research areas of the humanities and natural sciences or medicine, including prerequisites in at least a scope of 10 C from humanities research areas and at least 2 modules in the fields of ethics/philosophy/medical ethics and bioethics, or at least 10 C from natural sciences research areas or medicine and at least 2 modules in the fields of life sciences/scientific theory/sociology of scientific knowledge/medical ethics/animal ethics/environmental ethics.	Proof of good English language skills (at least B2 in CEF); no proof of German language skills.
Christian Archaeology and Byzantine Art History	Prerequisites in research areas of history and cultural studies, additionally in historical research areas of ancient history and medieval history in a scope of at least 90 C, including prerequisites in a scope of at least 45 C in the subject of Christian/Late Ancient Archaeology and Byzantine Art History.	Proof of Latin and Ancient Greek skills (Latinum, Graecum).
German Philology (Medieval German Language and Literature)	Prerequisites in a German studies degree programme and areas of relevance to the research area, including Medieval and Renaissance Studies, in a scope of at least 81 C.	None.
German Philology (German Linguistics)	Prerequisites in a German studies degree programme and areas of relevance to the research area, including linguistics or general linguistics, in a scope of at least 81 C.	None.

Research area	Relevant prior degree course (minimum requirements)	Particular qualifications for entry
German Philology (Modern German Literature)	Prerequisites in a German studies degree programme and areas of relevance to the research area, including literature studies or comparative studies, in a scope of at least 81 C.	None.
Didactics of Biology	Teacher training degree course as a preparatory degree as qualification to teach the subject of Biology and another subject not belonging to the natural sciences.	None.
Didactics of the Chinese Language and Literature	Teacher training degree course as a preparatory degree as qualification to teach the Chinese language, or prerequisites in Modern Sinology in a scope of at least 80 C (including at least 20 C in the area of linguistics or literature studies), or prerequisites in a scope of at least 80 C in Linguistics (of which at least 20 C in the area of Chinese linguistics), or prerequisites in a scope of at least 80 C in Literature Studies (of which at least 20 C in the area of Chinese literature).	Proof of good English language skills (at least B2 in CEF) and good Chinese language skills (at least B2 in CEF); if no German language skills are proven, proof of very good English language skills (at least C1 of the CEFR) must be provided.
Didactics of the German Language and Literature	Teacher training degree course as a preparatory degree as qualification to teach the German language.	None.
Didactics of the English Language, Literature and Culture	Teacher training degree course as a preparatory degree as qualification to teach the English language.	Proof of very good English language skills (at least C1 in CEF); proof of sufficient German language skills (at least B1 in CEF).

Research area	Relevant prior degree course (minimum requirements)	Particular qualifications for entry
Didactics of the French Language and Literature	Teacher training degree course as a preparatory degree as qualification to teach the French language.	Proof of very good French language skills (at least C1 in CEF); proof of Latin qualifications ("Kleines Latinum") and successful completion of two courses that prove sufficient enlargement on Latin skills related to the research area, if the "Kleines Latinum" is not completed.
Didactics of History	Teacher training degree course as a preparatory degree as qualification to teach the subject history, or achievements from a teacher-training-related study programme with the teaching subject history (subject-specific science, subject-specific didactics and professional sciences) totalling at least 120 C, including at least 50 C from the teaching subject history (subject-specific science and subject-specific didactics).	None.
Didactics of the Italian Language and Literature	Teacher training degree course as a preparatory degree as qualification to teach the Italian language.	Proof of very good Italian language skills (at least C1 in CEF); proof of Latin qualifications (Kleines Latinum) and successful completion of two courses that prove sufficient enlargement on Latin skills related to the research area, if the "Kleines Latinum" is not completed.
Didactics of the Spanish Language and Literature	Teacher training degree course as a preparatory degree as qualification to teach the Spanish language.	Proof of very good Spanish language skills (at least C1 in CEF); proof of Latin qualifications (Kleines Latinum) and successful completion of two courses that prove sufficient enlargement on Latin skills related to the research area, if the "Kleines Latinum" is not completed.
Digital Humanities	Achievements from humanities or social science and informatics subject areas totalling at least 90 C, including achievements totalling at least 30 C in digital humanities or closely related subject areas (such as computer philology, digital archaeology or similar).	Proof of good English language skills (at least B2 in CEF).

Research area	Relevant prior degree course (minimum requirements)	Particular qualifications for entry
English Philology (English Literature and Cultural Studies)	Proof of prerequisites from anglophone literature and cultural studies in a scope of at least 100 C, including at least 30 C not in the area of language practice.	Proof of very good English language skills (at least C1 in CEF); proof of sufficient German language skills (at least B1 in CEF). Proof of sufficient Latin skills ("Kleines Latinum").
English Philology (Medieval English Language and Literature)	Proof of prerequisites in philological disciplines, historical studies, archaeology or theology in a scope of at least 100 C, including at least 40 C in the area of medieval English language, literature and culture.	Proof of very good English language skills (at least C1 in CEF); proof of sufficient German language skills (at least B1 in CEF); proof of Latin qualifications ("Kleines Latinum"). The proof of sufficient knowledge of German and of the "Kleines Latinum" must be submitted within one year after acceptance as a doctoral candidate; until the proof is submitted, the acceptance as a doctoral candidate and any enrolment based on this will be conditional.
English Philology (English Linguistics)	Proof of prerequisites from linguistics in a scope of at least 100 C, including at least 30 C not in the area of language practice.	Proof of very good English language skills (at least C1 in CEF); proof of sufficient German language skills (at least B1 in CEF).
Specialised Didactics of Ancient Languages	Prerequisites in Latin or Greek (including specialised didactics) in a scope of at least 95 C.	Proof of sufficient English language skills (at least B1 in CEF); proof of Latin and Greek qualifications (Latinum and Graecum).
Finno-Ugric Philology	Prerequisites in Finno-Ugric Philology in a scope of at least 100 C.	Proof of good Finnish and Hungarian language skills (at least B2 in CEF); proof of sufficient Russian language skills (at least B1 in CEF).
Deaf Studies	Achievements in philology, general linguistics, sign language, sign language interpreting, cultural studies or Deaf Studies totalling at least 80 C, including at least 60 C in linguistics or Deaf Studies.	Proof of good knowledge of at least one sign language.

Research area	Relevant prior degree course (minimum requirements)	Particular qualifications for entry
History and Culture in Modern South Asia	Prerequisites in Modern Indian Studies or a social or historical research area in a scope of at least 90 C.	Proof of very good English language skills (at least C1 in CEF); no proof of German language skills.
Greek Philology	Prerequisites in Modern Indian Studies or a social or historical research area in a scope of at least 90 C.	Proof of very good English language skills (at least C1 in CEF); no proof of German language skills.
Indo-Germanic Linguistics	Prerequisites in General Linguistics or Indo-Germanic or Comparative Historical Linguistics or in a philological subject with a focus on ancient Indo-Germanic language in a scope of at least 60 C.	Proof of good English language skills (at least B2 in CEF).
Indology	Prerequisites in Indology or South Asian Studies in a scope of at least 90 C.	Proven knowledge of Sanskrit or Hindi in a scope of at least 20 C.
Intercultural German Studies	Prerequisites in a German studies degree programme (Intercultural German Studies, German Philology, German as a Foreign Language, German as a Second Language) or in a subject of relevance to the research area such as Linguistics, Literature or Cultural Studies, Literature and Cultural History or Comparative and Applied Cultural Studies in a scope of at least 84 C.	None.
Iranian Studies	Prerequisites in the area of Iranian Studies (Ancient and/or Modern Iranian) in a scope of at least 90 C.	Proof of good English language skills (at least B2 in CEF); no proof of German language skills, provided the candidate has confirmed very good English language skills (at least C1 in CEF).
Classical Archaeology	Prerequisites in ancient, art or historical studies research areas in a scope of at least 90 C, including prerequisites in Classic Archaeology in a scope of at least 30 C.	Proof of sufficient English language skills (at least B1 in CEF); proof of Latin and Greek qualifications ("Kleines Latinum" and Graecum). Provided that very good English language skills can be proven (at least C1 in CEF), the proof of very good German language skills (at least C12 in CEF) can be replaced with the proof of sufficient German language skills (at least B1 in CEF).

Research area	Relevant prior degree course (minimum requirements)	Particular qualifications for entry
Comparative Studies (General and Comparative Literature and Cultural Studies)	Prerequisites in a research area of cultural or literature studies in a scope of at least 80 C.	Proof of Latin qualifications ("Kleines Latinum"); sufficient knowledge of at least two modern foreign languages (including either English or French) on at least a B1 level in CEF. The Latin language skills can be replaced with knowledge of a further foreign language (at least B1 in CEF).
Coptology	Prerequisites in ancient or historical, oriental or theological research areas or archaeologies and closely related fields in a scope of at least 90 C, including a) prerequisites in Egyptology or Coptology in a scope of at least 30 C, b) a focus on Egyptology or , Coptic Studies in a non-philological area in a scope of at least 12 C, and c) proof of language skills in two language levels of regional dialects of the Egyptian-Coptic language (e.g. Ancient Egyptian, Middle Egyptian, Modern Egyptian, Demotic, Sahidic-Coptic or Bohairic-Coptic) in a scope of at least 12 C.	Proof of good English language skills (at least B2 in CEF) or knowledge of classic or New Testament Greek in a scope of at least 8 C.
Cultural Anthropology/European Ethnology	Prerequisites in Cultural Anthropology/European Ethnology (i.e. Empirical Cultural Studies, Folklore, Popular Cultures) and/or in a research area of cultural studies, humanities or social sciences in a scope of at least 80 C.	Very good English language skills (at least C1 in CEF), good knowledge of another European foreign language (at least B2 in CEF); no proof of German language skills. Applicants from outside of the European language areas are entitled to provide proof of a non-European native language or good skills in the official language of their country (at least B2 in CEF, but not English) in place of the other European foreign language.
Art History	Prerequisites in Art History, fine and visual arts or a closely related subject area in a scope of at least 80 C.	Proof of Latin qualifications (Latinum).
Latin Philology	Prerequisites in Latin (including specialised didactics) in a scope of at least 95 C.	Proof of sufficient English language skills (at least B1 in CEF); proof of Latin and Greek qualifications (Latinum and Graecum).



Research area	Relevant prior degree course (minimum requirements)	Particular qualifications for entry
Latin Philology of the Middle Ages and the Modern Era	Prerequisites in the research areas of Medieval Studies or Early Modern Studies or Latin Philology in a scope of at least 80 C, including prerequisites in the subject of Latin Philology of the Middle Ages or Latin Philology of the Middle Ages and the Modern Era in a scope of at least 18 C.	Proof of Latin qualifications ("Kleines Latinum").
Linguistic Anthropology and Indigenous American Studies	Prerequisites in a related subject of cultural studies or social sciences, in particular Ethnology, Archaeology, Ancient History, General Linguistics, Romanic Philology (Spanish Studies), English Philology or Gender Studies in a scope of at least 90 C.	Proof of good English language skills (at least B2 in CEF).
Medieval and Renaissance Studies	Prerequisites in Medieval and Renaissance Studies, History, Medieval and Modern Latin, German Studies, Romanic Studies, English Studies or Art History in a scope of at least 80 C.	Proof of Latin qualifications ("Kleines Latinum").
Medieval and Modern History	Prerequisites in History in a scope of at least 78 C.	Proof of the "Kleines Latinum"; proof of good English language skills (at least B2 in CEF). The "Kleines Latinum" can be replaced with a second modern foreign language (at least B2 in CEF) in the event of a thesis project on the modern era.
Musicology	Prerequisites in Musicology or a closely related subject area in a scope of at least 80 C.	Sufficient English language skills (at least B1 in CEF); very good English language skills must be proven (at least C1 in CEF) in the event that German language skills are not demonstrated.
North American Studies	Proof of prerequisites in American Studies/English Studies or English (Literature and Cultural Studies) in a scope of at least 100 C, including at least 50 C in North American Studies (literature and culture of North America).	Proof of very good English language skills (at least C1 in CEF); proof of sufficient German language skills (at least B1 in CEF). Proof of Latin qualifications ("Kleines Latinum").

Research area	Relevant prior degree course (minimum requirements)	Particular qualifications for entry
East Asian Studies/ Modern Sinology	Prerequisites in Modern Sinology in a scope of at least 80 C.	Proof of good English language skills (at least B2 in CEF). Very good English language skills must be proven (at least C1 in CEF) in the event that German language skills are not demonstrated.
Eastern European History	Prerequisites in Eastern European History or Medieval and Modern History in a scope of at least 78 C.	Proof of sufficient knowledge of a Slavic language or another language spoken in Eastern Europe, preferably Russian or Polish, also English (at least B1 in CEF, respectively). English can be replaced with a second modern, western language in exceptional cases, but the doctoral candidate must prove equivalent command.
Philosophy	Prerequisites in Philosophy in a scope of at least 80 C.	None.
Psycholinguistics	Prerequisites in General Linguistics, Linguistics or a philology in a scope of at least 60 C, including prerequisites in a scope of at least 36 C in the core areas of Linguistics (phonology, morphology, syntax, semantics, pragmatics) and empirical Linguistics (psycho-linguistic data collection and statistical evaluation).	None.
Religious Studies	Prerequisites in Religious Studies, Values and Norms or a directly related philology, theology, social or cultural studies in a scope of at least 90 C, including subjects relating to historical, empirical or systematic issues within Religious Studies in a scope of at least 36 C.	Ability to undertake source studies in at least one (ideally related to the doctoral project) traditional field: i.e. classical or contemporary knowledge of religious philology – e.g. Latin, Sanskrit; classic or modern Arabic – in a scope of 12 C.
Romanic Philology (French Literature Studies)	Prerequisites in Romanic Philology in a scope of at least 90 C.	Proof of very good French language skills (at least C1 in CEF); proof of Latin qualifications (“Kleines Latinum”) and successful completion of two courses that prove sufficient enlargement on Latin skills related to the research area, if the “Kleines Latinum” is not completed.

Research area	Relevant prior degree course (minimum requirements)	Particular qualifications for entry
Romanic Philology (French Linguistics)	Prerequisites in Romanic Philology in a scope of at least 90 C.	Proof of very good French language skills (at least C1 in CEF); proof of Latin qualifications ("Kleines Latinum") and successful completion of two courses that prove sufficient enlargement on Latin skills related to the research area, if the "Kleines Latinum" is not completed.
Romanic Philology (Ibero-Romanic Literature Studies)	Prerequisites in Romanic Philology in a scope of at least 90 C.	Proof of very good Spanish or Portuguese language skills (at least C1 in CEF); proof of Latin qualifications ("Kleines Latinum") and successful completion of two courses that prove sufficient enlargement on Latin skills related to the research area, if the "Kleines Latinum" is not completed.
Romanic Philology (Ibero-Romanic Linguistics)	Prerequisites in Romanic Philology in a scope of at least 90 C.	Proof of very good Spanish or Portuguese language skills (at least C1 in CEF); proof of Latin qualifications ("Kleines Latinum") and successful completion of two courses that prove sufficient enlargement on Latin skills related to the research area, if the "Kleines Latinum" is not completed.
Romanic Philology (Italian Literature Studies)	Prerequisites in Romanic Philology in a scope of at least 90 C.	Proof of very good Italian language skills (at least C1 in CEF); proof of Latin qualifications ("Kleines Latinum") and successful completion of two courses that prove sufficient enlargement on Latin skills related to the research area, if the "Kleines Latinum" is not completed.
Romanic Philology (Italian Linguistics)	Prerequisites in Romanic Philology in a scope of at least 90 C.	Proof of very good Italian language skills (at least C1 in CEF); proof of Latin qualifications ("Kleines Latinum") and successful completion of two courses that prove sufficient enlargement on Latin skills related to the research area, if the "Kleines Latinum" is not completed.
Romanic Philology (Romanic Literature Studies)	Prerequisites in Romanic Philology in a scope of at least 90 C.	Proof of very good language skills in a Romanic language (at least C1 in CEF); proof of Latin qualifications ("Kleines Latinum") and successful completion of two courses that prove sufficient enlargement on Latin skills related to the research area, if the "Kleines Latinum" is not completed.

Research area	Relevant prior degree course (minimum requirements)	Particular qualifications for entry
Romanic Philology (Romanic Linguistics)	Prerequisites in Romanic Philology in a scope of at least 90 C.	Proof of very good language skills in a Romanic language (at least C1 in CEF); proof of Latin qualifications ("Kleines Latinum") and successful completion of two courses that prove sufficient enlargement on Latin skills related to the research area, if the "Kleines Latinum" is not completed.
Scandinavian Philology (Early Scandinavian Studies)	Prerequisites in Scandinavian Studies, Nordic Philology, North European Studies or medieval and early modern studies in a scope of at least 72 C.	Proof of old Nordic language skills (at least 8 lecture hours) and sufficient knowledge of a modern Scandinavian language (at least B1 in CEF); proof of Latin qualifications (at least "Kleines Latinum").
Scandinavian Philology (Recent Scandinavian Studies)	Prerequisites in Scandinavian studies, Nordic Philology, North European Studies, Comparative Studies or Literature Studies in a scope of at least 72 C.	Proof of very good language skills in a modern Scandinavian language (at least C1 in CEF); proof of sufficient English language skills (at least B1 in GER).
Slavic Philology (Literature Studies)	a) Prerequisites in Slavic Philology in a scope of at least 96 C, including from the field of Slavic literature studies in a scope of at least 36 C, or b) prerequisites in Slavic Philology in a scope of at least 78 C and prerequisites from the field of comparative literature studies in a scope of at least 42 C.	Proof of good skills in a Slavic language (at least B2 in CEF) and Greek or Latin qualifications ("Kleines Latinum" or Graecum). Sufficient knowledge of two other modern foreign languages (at least B1 in CEF, respectively) can be demonstrated instead in the event that Latin or Greek language skills are not relevant to the topic of the thesis.
Slavic Philology (Linguistics)	Prerequisites in Slavic Philology/Slavic Studies in a scope of at least 100 C, including from the field of general and/or Slavic linguistics in a scope of at least 40 C.	Proof of Latin or Greek skills ("Kleines Latinum", Graecum). Sufficient knowledge of two other modern foreign languages (at least B1 in CEF, respectively) can be demonstrated instead in the event that Latin or Greek language skills are not relevant to the topic of the thesis.
Turkish Studies and Central Asian Studies	Prerequisites in Turkish Studies, Central Asian Studies, Mongolian Studies, Altaic Studies or in a neighbouring academic discipline in a scope of at least 80 C.	Proof of very good English language skills (at least C1 in CEF).

Research area	Relevant prior degree course (minimum requirements)	Particular qualifications for entry
Prehistory and Early History	Prerequisites in Prehistory and Early History in a scope of at least 100 C or prerequisites in Prehistory and Early History in a scope of at least 50 C and prerequisites in comparable archaeological disciplines and/or neighbouring disciplines of the humanities or natural sciences of relevance to the research area of the thesis in a scope of at least 50 C.	Proof of very good knowledge of one European foreign language (at least C1 in CEF) and good skills in another European foreign language (at least B2 in CEF). Latin qualifications (Latinum) are permitted in place of proof of one of these foreign languages.
Economic and Social History	Prerequisites in Economic and Social History or a neighbouring subject within social or historical studies in a scope of at least 90 C.	Proof of very good English language skills (at least C1 in CEF) if no proof of German language skills is demonstrated.

### 3. Permissible examination languages

The following examination languages are permitted in the following research areas in addition to German and English. This does not apply to minutes and reviews.

#### a) French:

- Didactics of the French Language and Literature
- Didactics of the Italian Language and Literature
- Didactics of the Spanish Language and Literature
- Classical Archaeology (only written examination)
- Romanic Philology (French Literature Studies)
- Romanic Philology (French Linguistics)
- Romanic Philology (Ibero-Romanic Literature Studies)
- Romanic Philology (Ibero-Romanic Linguistics)
- Romanic Philology (Italian Literature Studies)
- Romanic Philology (Italian Linguistics)
- Romanic Philology (Romanic Literature Studies)
- Romanic Philology (Romanic Linguistics)

#### b) Italian:

- Didactics of the French Language and Literature
- Didactics of the Italian Language and Literature
- Didactics of the Spanish Language and Literature
- Classical Archaeology (only written examination)
- Romanic Philology (French Literature Studies)
- Romanic Philology (French Linguistics)
- Romanic Philology (Ibero-Romanic Literature Studies)
- Romanic Philology (Ibero-Romanic Linguistics)
- Romanic Philology (Italian Literature Studies)
- Romanic Philology (Italian Linguistics)
- Romanic Philology (Romanic Literature Studies)
- Romanic Philology (Romanic Linguistics)

#### c) Portuguese:

- Didactics of the French Language and Literature
- Didactics of the Italian Language and Literature
- Didactics of the Spanish Language and Literature
- Romanic Philology (French Literature Studies)
- Romanic Philology (French Linguistics)
- Romanic Philology (Ibero-Romanic Literature Studies)
- Romanic Philology (Ibero-Romanic Linguistics)
- Romanic Philology (Italian Literature Studies)
- Romanic Philology (Italian Linguistics)
- Romanic Philology (Romanic Literature Studies)
- Romanic Philology (Romanic Linguistics)

#### d) Spanish:

- Didactics of the French Language and Literature
- Didactics of the Italian Language and Literature

- Didactics of the Spanish Language and Literature
- Linguistic Anthropology and Indigenous American Studies
- Romanic Philology (French Literature Studies)
- Romanic Philology (French Linguistics)
- Romanic Philology (Ibero-Romanic Literature Studies)
- Romanic Philology (Ibero-Romanic Linguistics)
- Romanic Philology (Italian Literature Studies)
- Romanic Philology (Italian Linguistics)
- Romanic Philology (Romanic Literature Studies)
- Romanic Philology (Romanic Linguistics)

#### 4. Thesis forms

a) The form of cumulative thesis is permitted in the following research areas in accordance with § 11 section 6:

- Egyptology
- Ancient Oriental Studies
- Bioethics
- German Philology (German Linguistics)
- German Philology (Modern German Literature)
- Didactics of Biology
- Didactics of the German Language and Literature
- Didactics of the English Language, Literature and Culture
- Didactics of the French Language and Literature
- Didactics of the Italian Language and Literature
- Didactics of the Spanish Language and Literature
- Digital Humanities
- English Philology (English Literature and Cultural Studies)
- English Philology (Medieval English Language and Literature)
- English Philology (English Linguistics)
- Deaf Studies
- Intercultural German Studies
- Iranian Studies
- Coptology
- Cultural Anthropology/European Ethnology
- Linguistic Anthropology and Indigenous American Studies
- Musicology
- North American Studies
- Philosophy
- Psycholinguistics
- Romanic Philology (French Linguistics)
- Romanic Philology (Ibero-Romanic Linguistics)
- Romanic Philology (Italian Linguistics)
- Romanic Philology (Romanic Linguistics)
- Scandinavian Philology (Early Scandinavian Studies)
- Scandinavian Philology (Recent Scandinavian Studies)
- Slavic Philology (Literature Studies)
- Slavic Philology (Linguistics)
- Prehistory and Early History

b) A thesis combined with a film or a different audio-visual media format is permitted in the following research area:

- Cultural Anthropology/European Ethnology

non-official version



## Appendix Ia Programme-specific provisions (re. §§ 1 section 1, 7 section 1a)

### 1. Doctoral programmes

The following doctoral programmes have been established at the Faculty of Humanities:

- a. Doctoral programme "Humanities I: Didactics"
- b. Doctoral programme "Humanities II: Historical subjects"
- c. Doctoral programme "Humanities III: Cultural studies subjects"
- d. Doctoral programme "Humanities IV: Modern regional studies"
- e. Doctoral programme "Humanities V: Object-oriented subjects"
- f. Doctoral programme "Humanities VI: Philologies"
- g. Doctoral programme "Humanities VII: Linguistics"
- h. Doctoral programme "Humanities VIII: Enlightenment"
- i. Doctoral programme "Humanities IX: Religion"

### 2. Research areas

The following subject areas are involved in the doctoral programmes according to No. 1:

- a. Doctoral programme "Humanities I: Didactics"
  - Didactics of Biology
  - Didactics of the Chinese Language and Literature
  - Didactics of the German Language and Literature
  - Didactics of the English Language, Literature and Culture
  - Didactics of the French Language and Literature
  - Didactics of History
  - Didactics of the Italian Language and Literature
  - Didactics of the Spanish Language and Literature
  - Specialised Didactics of Ancient Languages
- b. Doctoral programme "Humanities II: Historical subjects"
  - Egyptology
  - Ancient History
  - Ancient Oriental Studies
  - Arabic Studies / Islamic Studies
  - German Philology (Medieval German Language and Literature)
  - Didactics of the French Language and Literature
  - Didactics of History
  - Didactics of the Italian Language and Literature
  - Didactics of the Spanish Language and Literature
  - Digital Humanities
  - English Philology (Medieval English Language and Literature)
  - History and Culture in Modern South Asia
  - Comparative Studies (General and Comparative Literature and Cultural Studies)

- Coptology
- Art History
- Latin Philology of the Middle Ages and the Modern Era
- Medieval and Renaissance Studies
- Medieval and Modern History
- Eastern European History
- Religious Studies
- Scandinavian Philology (Early Scandinavian Studies)
- Scandinavian Philology (Recent Scandinavian Studies)
- Prehistory and Early History
- Economic and Social History

c. Doctoral programme "Humanities III: Cultural studies subjects"

- Egyptology
- Ancient Oriental Studies
- Arabic Studies / Islamic Studies
- Bioethics
- Christian Archaeology and Byzantine Art History
- Digital Humanities
- English Philology (English Literature and Cultural Studies)
- English Philology (Medieval English Language and Literature)
- Indology
- Intercultural German Studies
- Iranian Studies
- Classical Archaeology
- Comparative Studies (General and Comparative Literature and Cultural Studies)
- Coptology
- Cultural Anthropology/European Ethnology
- Art History
- Linguistic Anthropology and Indigenous American Studies
- Medieval and Renaissance Studies
- Medieval and Modern History
- Musicology
- North American Studies
- East Asian Studies/Modern Sinology
- Philosophy
- Religious Studies
- Romanic Philology (French Literature Studies)
- Romanic Philology (French Linguistics)
- Romanic Philology (Ibero-Romanic Literature Studies)
- Romanic Philology (Ibero-Romanic Linguistics)
- Romanic Philology (Italian Literature Studies)
- Romanic Philology (Italian Linguistics)
- Romanic Philology (Romanic Literature Studies)
- Romanic Philology (Romanic Linguistics)
- Scandinavian Philology (Early Scandinavian Studies)
- Scandinavian Philology (Recent Scandinavian Studies)
- Turkish Studies and Central Asian Studies
- Prehistory and Early History

- d. Doctoral programme "Humanities IV: Modern regional studies"
- History and Culture in Modern South Asia
  - Comparative Studies (General and Comparative Literature and Cultural Studies)
  - Cultural Anthropology/European Ethnology
  - North American Studies
  - East Asian Studies/Modern Sinology
  - Religious Studies
  - Scandinavian Philology (Early Scandinavian Studies)
  - Scandinavian Philology (Recent Scandinavian Studies)
- e. Doctoral programme "Humanities V: Object-oriented subjects"
- Egyptology
  - Christian Archaeology and Byzantine Art History
  - Digital Humanities
  - Classical Archaeology
  - Coptology
  - Cultural Anthropology/European Ethnology
  - Art History
  - Medieval and Renaissance Studies
  - Prehistory and Early History
- f. Doctoral programme "Humanities VI: Philologies"
- Egyptology
  - General Linguistics
  - Arabic Studies / Islamic Studies
  - German Philology (Medieval German Language and Literature)
  - German Philology (German Linguistics)
  - German Philology (Modern German Literature)
  - Didactics of the Chinese Language and Literature
  - Didactics of the German Language and Literature
  - Didactics of the English Language, Literature and Culture
  - Didactics of the French Language and Literature
  - Didactics of the Italian Language and Literature
  - Didactics of the Spanish Language and Literature
  - Digital Humanities
  - English Philology (English Literature and Cultural Studies)
  - English Philology (Medieval English Language and Literature)
  - English Philology (English Linguistics)
  - Specialised Didactics of Ancient Languages
  - Finno-Ugric Philology
  - Deaf Studies
  - Greek Philology
  - Indo-Germanic Linguistics
  - Indology
  - Iranian Studies
  - Comparative Studies (General and Comparative Literature and Cultural Studies)
  - Coptology
  - Latin Philology
  - Latin Philology of the Middle Ages and the Modern Era
  - North American Studies
  - East Asian Studies/Modern Sinology

- Romanic Philology (French Literature Studies)
- Romanic Philology (Ibero-Romanic Literature Studies)
- Romanic Philology (Italian Literature Studies)
- Romanic Philology (Romanic Literature Studies)
- Scandinavian Philology (Early Scandinavian Studies)
- Scandinavian Philology (Recent Scandinavian Studies)
- Slavic Philology (Literature Studies)

g. Doctoral programme "Humanities VII: Linguistics"

- General Linguistics
- German Philology (German Linguistics)
- Digital Humanities
- English Philology (English Linguistics)
- Deaf Studies
- Indo-Germanic Linguistics
- Philosophy
- Psycholinguistics
- Romanic Philology (French Linguistics)
- Romanic Philology (Ibero-Romanic Linguistics)
- Romanic Philology (Italian Linguistics)
- Romanic Philology (Romanic Linguistics)
- Slavic Philology (Linguistics)

h. Doctoral programme "Humanities VIII: Enlightenment"

- Medieval and Modern History
- Philosophy
- Religious Studies
- Economic and Social History

i. Doctoral programme "Humanities IX: Religion"

- Cultural Anthropology/European Ethnology
- Religious Studies

### 3. Doctoral studies - Module overview

Doctoral candidates in the doctoral degree programmes according to No. 1 must successfully complete modules totalling at least 24 C within the framework of the doctoral degree programme in accordance with the following provisions.

#### a. Compulsory modules

The following modules totalling 18 C must be successfully completed:

P.Phil.010	Doctoral Colloquium I: Conception and Planning of the Thesis Research Project	(6 C / 1 SWS)
P.Phil.020	Doctoral Colloquium II: Research Report, Presentation and Development of the Thesis Project	(6 C / 1 SWS)

P.Phil.020 Doctoral Colloquium III: Research Report, Presentation,  
Evaluation and Integration of the Latest Research (6 C / 1 SWS)

b. Compulsory elective modules

At least one of the following modules with a total of 6 C must be successfully completed:

P.Phil.041 Didactics in higher education: Design and implementation of  
a course in the humanities (6 C / 1 SWS)

P.Phil.042 Utilization of relevant fields of knowledge within the  
humanities (6 C / 1 SWS)

P.Phil.043 Scientific organisation and management (6 C / 1 SWS)

4. List of modules

The list of modules is published separately; it is an integral part of these doctoral regulations insofar as the modules are listed in the module overview for No. 3.

Appendix II (re. § 4 section 3 sentence 2):

**Declaration by the doctoral candidate  
at the Georg-August-Universität Göttingen**

Name .....  
(Surname, First name)

Address .....  
(Country / Postcode / Place)

I intend to produce a thesis on the topic of .....  
at Georg-August-Universität Göttingen. In this, I shall be supervised by  
Prof.....

I submit the following declaration:

1. The opportunity for the existing doctoral project was not made commercially available to me. Especially, I have not engaged any organisation that seeks thesis advisers against a fee for the preparation of thesis or performs my obligations with respect to examination components entirely or partly.

2. I have until now and shall in future accept the assistance of third parties only in a scope that is scientifically justifiable and compliant with the legal statutes of the examinations. In particular, all parts of the dissertation have been/will be prepared by myself; I have neither, nor will I, accept unauthorised outside assistance either free of charge or subject to a fee.

Furthermore, I am aware of the fact that untruthfulness with respect to the above declaration repeals the admission to complete the doctoral studies and/or subsequently entitle termination of the doctoral process or withdrawal of the title attained.

....., the .....  
(Place) (Date)

.....  
(Signature)

## Appendix III Template for the doctoral contract (re. § 6 section 3 sentence 2)

### **Agreement to safeguard specialist supervision during the doctoral process**

Name: ..... First name: .....

Date of birth: ..... Place of birth: ..... E-mail: .....

Address: .....

Doctoral studies: .....

Working title of the thesis:

.....

.....

Final degree; .....

The members of the Thesis Committee hereby undertake to provide suitable support to the thesis stated herein, that is, to provide the doctoral candidate with advice and assistance in the selection (and subsequent modification) of the topic, through joint regular reviews of progress in work and by the provision of timely responses to parts of the thesis that are submitted. Members of the Thesis Committee who are also evaluators undertake furthermore to propose to accept or reject the thesis or to return the thesis for revision within three months of its submission, and furthermore to prepare a review of the thesis within six months of its submission. The date of the oral defense must be no later than six months after admission to the doctoral examination.

The Thesis Committee comprises the following members:

	Name	Institute	University
1)	.....	.....	.....
2)	.....	.....	.....
3)	.....	.....	.....

The doctoral candidate hereby declares that he/she is aware of the applicable doctoral regulations and that he/she shall adhere thereto. In particular, this includes enrolment for the entire period of his/her doctoral project, the submission of a preliminary work schedule that must be provided no later than upon conclusion of the doctoral contract, and the preparation of an annual report on the state of research, also documentation of progress in the doctoral project. This shall be submitted to the Thesis Committee. The report, which must be prepared at least in text form, must be annotated by the members of the Thesis Committee with their comments and then passed on by them to the managing office of the GSGG.

The doctoral candidate is obliged to regularly attend a doctoral colloquium with an annual presentation of his/her dissertation project.

The members of the Thesis Committee and the doctoral candidate undertake to abide by the rules of good scientific practice as set forth in § 1 "Regulations of the Georg-August-Universität Göttingen to uphold good scientific practice".

Scheduled submission date for the thesis (MM/YYYY): .....

Signature, doctoral candidate:

.....

Signatures of the Thesis Committee:

1)

.....

2)

.....

3)

.....

Göttingen, the .....

non-official version



Appendix IV Template for the title page of the thesis (re. §§ 11 section 3 sentence 2, 24 section 6 sentence 1)

.....

(Title)

Thesis

in order to acquire the Doctoral Degree in Philosophy  
at the Faculty of Humanities of the Georg-August-Universität Göttingen

submitted by

.....

from ..... (Place of birth)

Göttingen .... (Year submitted)

## Appendix V Template for the revision certificate (re. § 24 section 2 sentence 3)

### Revision Certificate

The manuscript of the thesis by

Mr/Ms .....

with the original title .....

was submitted to me.

☐ There is no change of title.

☐ I agree to the change in title to : .....

The conditions are satisfied. I have no objections to printing in the version in my possession.

....., the .....  
(Place) (Date) (Signature of the first reviewer)

The signed revision certificate must be submitted to the Dean's Office of the Faculty of Humanities at the latest together with the obligatory copies of the published dissertation. The doctoral certificate is then issued.

## Appendix VI Template for the doctoral certificate (re. § 25 section 1 sentence 1)

### 1. German language

Die Georg-August-Universität Göttingen  
unter der Präsidentin/dem Präsidenten  
Professorin/Professor Dr. ....  
verleiht  
durch die Philosophische Fakultät  
unter der Dekanin/dem Dekan  
Professorin/Professor Dr. ....  
Frau/Herrn .....  
geboren am..... in .....  
den Grad einer Doktorin/eines Doktors der Philosophie (Dr. phil.) /  
den Grad „Doctor of Philosophy“ (Ph.D.),  
nachdem sie/er in einem ordnungsgemäßen Promotionsverfahren  
[im Rahmen des Promotionsstudiengangs/Promotionsprogramms .....]  
durch die mit ..... beurteilte Dissertation  
..... (Thema)  
in dem Fach .....  
sowie durch die mit .....am ..... bestandene mündliche Prüfung  
(Rigorosum in den Fächern ...../Disputation)  
ihre/seine wissenschaftliche Befähigung erwiesen hat.

Göttingen, den .....

(Siegel der Universität)

.....

Die Dekanin/Der Dekan

## 2. English language (for oral defense/Disputation)

The Georg-August-Universität Göttingen

under the presidency of Professor Dr. ....

through the Faculty of Humanities

under the deanship of Professor Dr. ....

confers upon

Ms/Mrs/Mr .....

born on ..... in .....

the degree "Doctor of Philosophy" (Ph.D.) /

the degree "Doktorin/Doktor der Philosophie" (Dr. phil.).

She/he proved her/his academic qualification in the subject ...

according to the regulations of the doctoral (degree) programme [ggf. Bezeichnung des

Promotionsstudiengangs/Promotionsprogramms] by submitting her/his doctoral thesis

with the title

.....,

for which she/he was awarded the grade ...,

and by successfully completing the oral thesis defence (disputation) on .....

for which she/he was awarded the grade ....

Göttingen, .....

(Siegel der Universität)

Dean of the Faculty

3. English language (for oral examination/Rigorousum)

The Georg-August-Universität Göttingen

under the presidency of Professor Dr. ....

through the Faculty of Humanities

under the deanship of Professor Dr. ....

confers upon

Ms/Mrs/Mr .....

born on ..... in .....

the degree "Doctor of Philosophy" (Ph.D.) /

the degree "Doktorin/Doktor der Philosophie" (Dr. phil.).

She/he proved her/his academic qualification in the subject ...

according to the regulations of the doctoral (degree) programme [ggf. Bezeichnung des

Promotionsstudiengangs/Promotionsprogramms] by submitting her/his doctoral thesis

with the title

.....,

for which she/he was awarded the grade ...,

and by successfully completing the oral examination (Rigorousum) in the subjects ... on .....

for which she/he was awarded the grade ....

Göttingen, ...

(Siegel der Universität)

Dean of the Faculty

4. Latin (for oral defense/Disputation)

VNIVERSITAS GEORGIA AVGVSTA GOTTINGENSIS  
PRAESIDE MAGNIFICA / MAGNIFICO

[TITEL, NAME PRÄSIDENT/IN MIT FACHBEZEICHNUNG]

AMPLISSIMO ORDINE PHILOSOPHICO  
DECANA / DECANO

[TITEL, NAME DEKAN/IN MIT FACHBEZEICHNUNG]

FEMINAM HONESTISSIMAM / VIRVM HONESTISSIMVM

[NAME]

NATAM /-VM DIE ### MENSIS ### ANNI #####

DOCTOREM PHILOSOPHIAE (DR. PHIL. / PH.D.)

CREAVIT INQVE HVIVS GRADVS HONOREM EVEXIT  
POSTQVAM LEGITIMO PROMOVENDI RITV  
IN DISCIPLINA [FACH]  
PER DISSERTATIONEM

[TITEL]

OPVS (SVMME) / (VALDE) LAVDABILE / RITE CONFECTVM IVDICATAM  
PERQVE DISPUTATIONEM VIVA VOCE DIE ### MENSIS ### ANNI #####  
(SVMMA) / (MAGNA) CVM LAVDE / RITE SVPERATAM

SE AD RES DOCTAS SCIENTIFICE TRACTANDAS APTAM / APTVM ESSE  
DEMONSTRAVIT

GOTTINGAE DIE ### MENSIS ### ANNI #####

(Siegel der Universität)

DECANA / DECANVS

5. Latin (for oral examination/Rigorousum)

VNIVERSITAS GEORGIA AVGVSTA GOTTINGENSIS  
PRAESIDE MAGNIFICA / MAGNIFICO

[TITEL, NAME PRÄSIDENT/IN MIT FACHBEZEICHNUNG]

AMPLISSIMO ORDINE PHILOSOPHICO  
DECANA / DECANO

[TITEL, NAME DEKAN/IN MIT FACHBEZEICHNUNG]

FEMINAM HONESTISSIMAM / VIRVM HONESTISSIMVM

[NAME]

NATAM /-VM DIE ### MENSIS ### ANNI #####

DOCTOREM PHILOSOPHIAE (DR. PHIL. / PH.D.)

CREAVIT INQVE HVIVS GRADVS HONOREM EVEXIT

POSTQVAM LEGITIMO PROMOVENDI RITV

PER DISSERTATIONEM

[TITEL]

IN DISCIPLINA [FACH]

OPVS (SVMME) / (VALDE) LAVDABILE / RITE CONFECTVM IVDICATAM

PERQVE EXAMEN RIGOROSVM VIVA VOCE DIE ### MENSIS ### ANNI #####

IN DISCIPLINIS [FACH] ET [FACH]

(SVMMA) / (MAGNA) CVM LAVDE / RITE SVPERATVM

SE AD RES DOCTAS SCIENTIFICE TRACTANDAS APTAM / APTVM ESSE  
DEMONSTRAVIT

GOTTINGAE DIE ### MENSIS ### ANNI #####

(Siegel der Universität)

DECANA / DECANVS